
PREVENTIVE HEALTH & HEALTH SERVICES BLOCK GRANT

Request for Applications
RFA# CHA – PHHSBG051013
Date May 10, 2013

Submission Deadline:
4:00 pm

June 10, 2013 by



District of Columbia Department of Health
Terms for Requests for Applications and Funding

The following terms and conditions are applicable to this and all Requests for Applications (RFAs) issued by the District of Columbia Department of Health (DOH):

- Funding for an award is contingent upon receipt and continuation of funds from the DOH grantor and funding source.
- Funding levels of respective program areas outlined in the RFA are subject to change and contingent upon receipt of funding and any allocation requirements for those respective program areas.
- Funding levels of continuing grants issued from the RFA are contingent upon continuation of federal or local funding, reduction, elimination and/or reallocation of those funds, as well as the grantee's performance under a DOH-issued grant award.
- The RFA does not commit DOH to make an award.
- DOH reserves the right to accept or deny any or all applications if the DOH determines it is in the best interest of DOH to do so. DOH shall notify the applicant if it rejects that applicant's proposal.
- The District of Columbia Department of Health reserves the right to, without prior notice, reduce or cancel one or more programs listed in this Request for Applications (RFA), reject all applications, adjust total funds available, or cancel the RFA in part or whole.
- DOH may suspend or terminate an outstanding RFA pursuant to its own grant making rule(s) or any applicable federal regulation or requirement.
- DOH reserves the right to issue addenda and/or amendments subsequent to the issuance of the RFA, or to rescind the RFA.
- DOH shall not be liable for any costs incurred by the applicant organization in the preparation of applications in response to the RFA. Applicant agrees that all costs incurred in developing the application are the applicant's sole responsibility. No funds already awarded the applicant under other instruments or agreements shall be used by the applicant to fund the preparation of the application.
- DOH may conduct pre-award on-site visits to verify information submitted in the application and to determine if the applicant's facilities are appropriate for the services intended.
- DOH may enter into negotiations with an applicant and adopt a firm funding amount or other revision of the applicant's proposal that may result from negotiations.
- DOH shall provide the citations to the statute and implementing regulations that authorize the grant or sub-grant; all applicable federal and District regulations, such as OMB Circulars A-102, A-133, 2 CFR 180, 2 CFR 225, 2 CFR 220, and 2 CFR 215; payment provisions identifying how the grantee will be paid for performing under the award; reporting requirements, including programmatic, financial and any special reports required by the granting Agency; and compliance conditions that must be met by the grantee.
- If there are any conflicts between the terms and conditions of the RFA and any applicable federal or local law or regulation, or any ambiguity related thereto, then the provisions of the applicable law or regulation shall control and it shall be the responsibility of the applicant to ensure compliance.

Additional information about RFA terms may be obtained at the following site: www.opgs.dc.tov (click on Information) or click here: [City-Wide Grants Manual](#)

If your agency would like to obtain a copy of the **DOH RFA Dispute Resolution Policy**, please contact the Office of Grants Management and Resource Development at doh.grants@dc.gov or call (202) 442-9237. Your request for this document will not be shared with DOH program staff or reviewers. Copies will be made available at all pre-application conferences.

I. Contents

CHECKLIST FOR APPLICATIONS 5

I. GENERAL INFORMATION..... 6

A. Key Dates 6

B. Overview 6

C. Source of Grant Funding 7

D. Amount of funding available..... 7

E. Performance and Funding Period 7

F. Eligible Organizations/Entities..... 7

II. BACKGROUND & PURPOSE..... 8

A. Background 8

B. Purpose 10

III. ADMINISTRATIVE REQUIREMENTS 10

A. Grant Uses 10

B. Conditions of Award 10

C. Indirect Cost 11

D. Insurance 11

E. Audits 11

F. Nondiscrimination in the Delivery of Services 11

G. Quality Assurance 11

IV. PERFORMANCE REQUIREMENTS 12

A. Focus Area A: Nutrition, Obesity and Physical Activity 12

B. Focus Area B: Oral Health Education and Awareness..... 15

V. EVALUATION CRITERIA..... 17

A. Background and Need (10 points)..... 17

B. Organizational Capacity (20 Points) 17

C. Partnerships, Linkages, and Referrals (15 Points) 18

D. Implementation Narrative & Work Plan (40 points)..... 18

E. Performance Monitoring and Evaluation (15 Points)..... 18

F. Budget and Budget Narrative (Reviewed, but not scored)..... 19

VI. APPLICATION SUBMISSION..... 19

A. Application Package..... 19

B. Application Elements - Project Narrative & Attachments 19

C. Pre-Application Conference 19

D. Internet 20

E. Assurances & Certifications 20

F. Format 21

G. Submission 21

H. Contact Information	21
VII. APPLICATION REVIEW & SELECTION INFORMATION	22
VIII. OTHER/APPENDICES/ATTACHMENTS.....	23
APPENDIX A - GLOSSARY.....	23
APPENDIX B - CALCULATING REACH.....	24
APPENDIX C – RESOURCES.....	25
ATTACHMENT A - WORK PLAN TEMPLATE 2.0	1
ATTACHMENT B - LOGIC MODEL EXAMPLE	2
ATTACHMENT C - BUDGET AND BUDGET NARRATIVE FORMAT	3
ATTACHMENT D - APPLICATION FOR GRANT FUNDING	6
ATTACHMENT E - APPLICATION RECEIPT	7
ATTACHMENT F - ASSURANCES AND CERTIFICATIONS.....	8

CHECKLIST FOR APPLICATIONS

- The applicant has completed a DOH Application for Grant Funding (NEW) and affixed it to the front of the Application Package. which includes an applicant profile, proposal summary/abstract, contact information, and all assurance and certification documents)
- The Complete **Application Package**, includes the following:
 - ✓ DOH Application for Grant Funding
 - ✓ Project Narrative
 - ✓ Project Workplan
 - ✓ Project Budget & Justification
 - ✓ Package of Assurances and Certification Documents
 - ✓ Other Attachments allowed or requested by the RFA (e.g. resumes, letters of support, logic models, etc.)
- Documents requiring signature have been signed by an AUTHORIZED Representative of the applicant organization
- The Applicant has a DUNS number to be awarded funds. Go to Dun and Bradstreet to apply for and obtain a DUNS # if needed.
- The Project Narrative is printed on 8½ by 11-inch paper, **double-spaced**, on one side, **Arial or Times New Roman font using 12-point type with a minimum of one inch margins**. Applications that do not conform to this requirement will not be forwarded to the review panel.
- The application proposal conforms to the “Application Elements” listed in the RFA.
- The Proposed Budget is complete and complies with the Budget forms (Attachment F) provided in the RFA. The budget narrative is complete and describes the categories of items proposed.
- The Proposed Workplan is complete and complies with the forms and format provided in the RFA.
- The Applicant is submitting one (1) marked original and (5) hard copies for each Focus Area applying.
- The appropriate attachments, including program descriptions, staff qualifications, individual resumes, licenses (if applicable), and other supporting documentation are enclosed.
- The application is submitted to **DOH, 899 North Capitol St., NE, 3rd Floor Reception Area** no later than 4:00 p.m., on the deadline **date of June 10, 2013**.

I. GENERAL INFORMATION

A. Key Dates

Notice of Funding Announcement: April 26, 2013

Request for Application Release Date: May 10, 2013

Pre-Application Meeting Date: May 17, 2013

Application Submission Deadline: June 10, 2013 by 4:00 p.m.

Anticipated Award Start Date: July 15, 2013

B. Overview

The District of Columbia, Department of Health (DOH), Community Health Administration (CHA) administers the Centers for Disease Control and Prevention (CDC) Preventive Health and Health Services Block Grant (PHHSBG). PHHS Block Grant funds supplement the District's appropriated budget along with other federal support and are used for programs aimed at improving the health of District residents. Block Grant funds have been used to provide support when no other sources of funding exist, provide start-up dollars for community health programs, provide supplemental support for categorical funding of state health programs, and provide funds for rapid response to unexpected health threats.

Funds are distributed in accordance with statutory requirements detailed in Part A, Title XIX, of the Public Health Services Act. CHA programs are designed to promote good health and beneficial health practices, reduce morbidity and mortality resulting from major preventable hazards and diseases and, promote a sound, healthy environment for the District of Columbia residents and visitors. Priority areas are established based on CHA goals and objectives, health status and risk reduction objectives, testimony from a public hearing, and input from the Preventive Health Block Grant Public Advisory Committee. The State's annual work plan submitted to CDC is based on National Healthy People 2020 objectives.

Public Law 102-531 mandates the establishment of a Public Advisory Committee to make recommendations on the development and implementation of PHHS Block Grant funded programs. The District's Advisory Committee is made up of health care professionals and representatives of private organizations and community groups who have a vital and constructive interest in preventive health programs. Specific program models and interventions, as well as sub-target populations and program venues outlined in this RFA reflect the prioritization process conducted by the PHHS Block Public Advisory Committee in collaboration with CHA, as well as the availability of resources to fund programs for each target population.

C. Source of Grant Funding

The grants are made available through the Department of Health and Human Services, Public Health Services, Centers for Disease Control and Prevention, Notice of Block Grant Award ((3B01DP009009), Authorization (Legislation/Regulation), Title XIX, Section 1901, PHS Act As Amended.

D. Amount of funding available

The following health priority areas have been identified for funding under this Request for Applications to implement the following proposed projects:

Program Area	Total Amount Available	Approximate Number of Awards
Focus Area A - Nutrition, Obesity and Physical Activity	\$ 150,000	Up to 3 awards @ \$50,000 each
Focus Area B - Oral Health Awareness and Education	\$ 50,000	1 award @ \$50,000

E. Performance and Funding Period

The anticipated performance and funding period is July 15, 2013 – July 14, 2014, one year (12 months). Award amounts and project periods are dependent upon receipt of funds from the federal grantor agency, Centers for Disease Control and Prevention.

No obligation or commitment of funds will be allowed beyond the grant period of performance. Grant awards are made annually and contingent on demonstrated progress by the recipient in achieving performance objectives and contingent upon availability of funds. CHA reserves the right to make partial awards (i.e. partial funding and/or proposed services) and to fund more than one agency for each target population covered in all program areas.

F. Eligible Organizations/Entities

The following are eligible organizations/entities who can apply for grant funds under this RFA:

- Not-for-profit community-based organizations with 501(c)(3) status serving residents of the District of Columbia (i.e., faith-based, academic institutions, etc.)
- Private non-profit organizations
- For-profit organizations, not to include individuals;

II. BACKGROUND & PURPOSE

A. Background

Chronic Diseases

The District of Columbia (DC or the District) is an ethnically-diverse and compact geographic area measuring 61 square miles and comprised of a population of 601,723 (US Census Bureau, 2010). This represents an increase of 5.2 percent between decennial census years 2000 (572,059) and 2010.

The District is geographically divided into four quadrants (northeast, northwest, southeast and southwest) and eight electoral wards (Figure 1). Located in the northwest quadrant of the city, Wards 1 and 4 are home to most of the District's Hispanic American population, while Wards 5 and 6 are located in the northeast quadrant of the city and the population is predominantly African-American. The residents of Wards 7 and 8 are more than ninety percent African-American.

The wards are evenly divided in terms of population size, however, they are extremely divergent relative to socio-economic status, health and wellness and chronic disease.

The disparities in prevalence of chronic conditions between the District's wards are clear and disturbing as depicted in Table 1: Obesity rates are startling. The obesity rate in Ward 7 is more than 2.5 times that of Ward 2 and nearly five (5) times that of Ward 3. Ward 8 rates for obesity are three (3) times that of Ward 2 and almost six (6) times that of Ward 3.

FIGURE 1: MAP OF THE DISTRICT OF COLUMBIA WITH ELECTORAL WARDS



TABLE 1: Adult Health/Wellness-Chronic Disease Indicators by Ward

Disease or Condition	Wards – Percentage of Population (Prevalence)								DC
	1	2	3	4	5	6	7	8	
Overweight	33.9%	30%	35.7%	36.7%	36.6%	34.8%	34.6%	32.9%	33.8%
Obese	21.3%	14.4%	7.5%	25.8%	29.9%	17.4%	35.3%	44.4%	22.4%
*Participate in Moderate Physical Activity	45.3%	51.5%	44.7%	29.9%	31.4%	46%	25.2%	29.9%	38.9%
*Consume 5+fruits & vegetables per day	29.9%	36.5%	40.7%	26.2%	32.5%	30.1%	27.8%	26.1%	31.5%

Source: District of Columbia Behavioral Risk Factor Surveillance System (BRFSS) 2010 Annual Report; *District of Columbia BRFSS 2009 Annual Report.

The elimination of chronic conditions and their causative racial, ethnic and socio-economic factors are key to eradicating health disparities, poor health outcomes and premature death among the District residents. Health indicators such as overweight/obesity, physical activity and nutrition directly impact the overall health status of local residents.

Oral Healthcare

Although 91.3 percent of adults in the District of Columbia have medical insurance coverage, only one fifth report routine access to care.¹ Under-utilization of dental healthcare is prevalent as well, especially among low-income adults. Between 2000 and 2010, dental care utilization among low-income children in the District of Columbia increased by 77% while it decreased by 8% among low-income adults.² This under-utilization of oral healthcare is attributed to “access” factors, including cost, lack of a sustained provider relationship (“dental home”³), lack of knowledge about accessing the dental care system, inability to obtain appointments in a timely manner, and transportation issues.⁴ Overall, issues secondary to care access contribute to 55.3% of under-utilization of oral healthcare services. Access to dental care is compounded by the lack of providers that accept Medicaid, limiting the number of venues through which lower income residents may seek dental care.

Data from the 2004 Behavioral Risk Factors Surveillance System (BRFSS) survey illustrates the impact of limited access to preventive dental care:⁵

- Nearly one-third (29.7%; CI: 27.5-31.9) of District of Columbia adults ages 18 and older did not visit a dentist or dental clinic within the past year. This finding was significant for those with less than a high school degree where over half (52.1%; CI: 43.4-60.8) reported not receiving dental care; for those with annual incomes less than \$15,000 (43.0%; CI: 35.2-50.8) or incomes \$15,000-\$24,999 (41.4%; CI: 34.8-48.0); and for African Americans (36.1% (CI: 32.7-39.5).
 - Nearly one-third (31.0%; CI: 28.7-33.3) of District of Columbia adults aged 18+ have not had their teeth cleaned in the past year (among adults with natural teeth who have ever visited a dentist or dental clinic). This finding was significant for those with less than a high school degree where over half (56.5%; CI: 46.8-66.2) and over one-third (40.7%; CI: 35.2-46.2) of those with a high school diploma or GED reported not receiving having their teeth cleaned. Also impacted were those with lower annual incomes where nearly half (48.2%; CI: 39.7-56.7) of those earning less \$15,000 and over one-third (43.6%: CI: 36.7-50.5) of those with incomes \$15,000-\$24,999; and African Americans (37.8%; CI: 34.2-41.4) indicating no teeth cleaning.

¹ Lurie, N. et. al. *Assessing Health and Health Care in the District of Columbia*. RAND Corporation, WR-534, Jan. 2008 (“RAND report”).

² Vujjic M, “Dental Care Utilization Declined among Low-income Adults, Increased among Low-income Children in Most States from 2000 to 2010,” ADA Health Policy Resource Center Research Brief (2013). p. 4.
http://www.ada.org/sections/professionalResources/pdfs/HPRCBrief_0213_3.pdf.

³ For the purposes of this Request for Applications, “dental home” means an oral health provider in the District that maintains comprehensive oral health records, implements consistent follow-up on prescribed treatment and scheduled appointments with each patient, as well as, maintains the ability to refer patients to specialty oral health services.

⁴ Chandra, A., et al., “Health and Health Care Among District of Columbia Youth,” RAND Corporation Technical Report prepared at the request of Children’s National Medical Center (2009) (“CNMC report”), p. 103; W.K. Kellogg Foundation, Oral Health Access and Utilization Survey for the District of Columbia (2002), p. 4.

⁵ <http://apps.nccd.cdc.gov/nohss/ListV.asp?qkey=5&DataSet=2>

The difficulty of residents to navigate the oral healthcare system creates issues that can affect systemic health and result in higher healthcare costs. Many individuals that do not have access to regular dental care forgo preventive care, leading to chronic dental issues. Oral diseases that result from chronic inflammation, such as periodontal disease, are associated with poor outcomes in patients with cardiovascular diseases, diabetes, cancer, and joint disorders.

B. Purpose

The District of Columbia, Department of Health (DOH) PHHSBG is soliciting applications from eligible organizations located in the District to implement programs which promote improving proper nutrition, reducing weight, increasing physical activity, and increasing oral health education and awareness to improve chronic disease outcomes.

Overall Outcome Objectives: The outcomes of the proposed program(s) must align with a Healthy People 2020 Objective for nutrition, obesity, physical activity or oral health.

<http://healthypeople.gov/2020/TOPICOBJECTIVES2020/>

- Healthy People 2020 Objective – Nutrition and Weight Status
- Healthy People 2020 Objective – Oral Health
- Healthy People 2020 Objective – Physical Activity

III. ADMINISTRATIVE REQUIREMENTS

A. Grant Uses

- The grant awarded under this RFA will be used exclusively to pay costs associated with the implementation of the grant.
- Payment requests will be monitored by DOH to ensure compliance with the approved budget and work plan.

B. Conditions of Award

As a condition of award, a successful applicant who is issued a Notice of Grant Award (NOGA) will be required to:

- Revise and resubmit a work plan and budget in accordance with the approved scope of work and assignments prescribed by a DOH Notice of Intent to Fund and any pre-award negotiations with assigned DOH project and grants management personnel.
- Meet Pre-Award requirements, including submission and approval of required assurances and certification documents (see Section VII E- Assurances &

Certifications), documentation of non-disbarment or suspension (current or pending) of eligibility to review federal funds.

- Adhere to mutually agreed upon terms and conditions of a grant agreement and Notice of Grant Award issued by the Director of the Department of Health and accepted by the grantee organization. The grant agreement shall outline the scope of work, standards, reporting requirements, fund distribution terms and any special provisions required by federal agreements.
- Utilize Performance Monitoring and Reporting tools developed and approved by DOH.

C. Indirect Cost

Indirect costs are costs that are not readily identifiable with a particular project or activity but are required for operating the organization and conducting the grant-related activities it performs. Indirect costs encompass expenditures for operation and maintenance of building and equipment, depreciation, administrative salaries, general telephone services and general travel and supplies.

D. Insurance

All applicants that receive awards under this RFA must show proof of all insurance coverage required by law prior to receiving funds.

E. Audits

At any time or times before final payment and three (3) years thereafter, the District may have the applicant's expenditure statements and source documentation audited. Grantees subject to A-133 rules must have available and submit as requested the most recent audit reports, as requested by DOH personnel.

F. Nondiscrimination in the Delivery of Services

In accordance with Title VI of the Civil Rights Act of 1964 (Public Law 88-352), as amended, no person shall, on the grounds of race, color, religion, nationality, sex, or political opinion, be denied the benefits of, or be subjected to discrimination under, any program activity receiving Preventive Health and Health Services Block Grant funds under this RFA.

G. Quality Assurance

DOH will utilize a risk-based management and monitoring assessment to establish a monitoring plan for the grantee. Grantees will submit an interim and final report on progress, successes and barriers.

Funding is contingent upon the Grantee's compliance with terms of the agreement and progress in meeting milestones and targets outlined in the approved work plan and

performance plan. All programs shall be monitored and assessed by assigned project and grants management personnel. The Grantee will receive a performance rating and subject to review at any time during the budget period.

A final performance report shall be completed by the Department of Health and provided and held for record and use by DOH in making additional funding or future funding available to the applicant. All performance reports are subject to review and oversight by the DOH Office of Grants Management.

IV. PERFORMANCE REQUIREMENTS

A. Focus Area A: Nutrition, Obesity and Physical Activity

The following three strategies shall be the focus of grants awarded in Focus Area A. A total of up to three (3) grants shall be awarded at \$50,000 each for a total of \$150,000. Applicants should address at least one (1) of the following three (3) strategies:

- 1) The applicant shall plan and implement a strategy that **uses media and other social marketing approaches** to promote culturally appropriate and age appropriate education-information related to nutrition, obesity and/or physical activity with a particular *focus on reaching children and youth target ages, as well as families*. Develop sustainable strategies that are beneficial to District residents as it relates to behavioral change. Strategies should be able to be duplicated and expanded with minimal resources is desirable. A proposal must describe a distribution plan or approach for sharing created “products” and leveraging resources.
- 2) The applicant shall plan and implement a strategy that promotes a 21st century strategies that support **inter-generational approaches to wellness through physical activity, nutrition and/or obesity reduction**, with an emphasis on targeting neighborhoods with the highest rates of obesity, physical inactivity, and lack of access to healthy foods.
- 3) The applicant shall plan and implement an **intervention promoting regular and ongoing collaboration and networking in the area of child obesity prevention and reduction**. The collaboration will facilitate a “grass-roots think tank” focused on physical activity, nutrition and obesity prevention and reduction, with participation to include: (a) community-based entities; (b) individuals and organizations involved with fitness, wellness, healthy eating/active living; (c) residents, families, parents living in under-served DC communities with the highest rates of chronic disease (i.e., diabetes, heart disease, high blood pressure, asthma, cancer, etc.)/obesity; and (d) staff from government agencies, schools, and day care centers. Applicants will implement the intervention outlined by the collaboration. Applicants will develop a Replication Plan that provides a Step-by-Step Guide for implementing the intervention.

Note: Each application should address only one strategy. A separate application should be submitted to address an additional strategy.

1. Application Sections: Focus Area A:

Applicant will provide the following:

Program Overview

- Persons to be reached
- Interventions/Program Models
- Recipient Responsibilities/Activities

Background and Need

- Describe current capacity to support the activities identified in the recipient activities.
- Describe past policy, environmental, programmatic, and infrastructure successes, including lessons learned, if applicable. Identify past policy, environmental, programmatic, and infrastructure successes that have demonstrated improved community outcomes.
- Describe the area in which the project will be located and the intervention population to be served, including population size, and other characteristics. Where feasible and appropriate use local data to describe the health status of the intervention population, including health disparities that characterize the population related to chronic diseases, conditions or risk factors.

Organizational Capacity

- Describe experience in serving the target population(s).
- Describe existing and additional required staff (if any), qualifications, and responsibilities. For vacant proposed positions, identify duties, responsibilities and projected time line for recruitment and time-limited hiring. CV, resumes, position descriptions, and organizational charts may be submitted as appendices.
- Describe how funding will support strategies that align with the goals of the initiative.
- Describe fiscal practices to capture funds leveraged from other sources.
- Describe additional sources of funding the program will pursue.

Partnerships, Linkages, and Referrals

- Describe plans for establishing a new, or engaging an existing, cross-sector network of partners to participate actively in the implementation, and evaluation, if applicable of the applicant's implementation plan.
- Describe past successes working with agencies and organizations in other sectors to advance a community or public health goal and achieve improved community outcomes.
- Provide letters of commitment and evidence of support and connections with other agencies and organizations across multiple relevant sectors pertinent to the accomplishment of the selected outcome measures.

- Explain the process for tracking linkages and their outcomes, and how collecting and reporting data on referrals.

Project Description (Implementation Narrative) & Work Plan

- Describe selected strategies/interventions and how they will be implemented to achieve program goals, objectives and outcome measures.
- Outline the reasoning for selecting the proposed objectives and activities, including an assessment of the current needs and assets in the community and indicate plans for sustainability and leveraging resources. Describe how objectives will maximize public health impact of PHHSBG funding, including strength of proposed policy, environmental, programmatic, and infrastructure strategies, frequency of exposure, number of people affected, degree to which health disparities will be reduced, or contribution of innovative approaches to achieve evidence base practices.
- Include a Work Plan that includes all of the elements found in the work plan example provided in Attachment A. The work plan should propose Process and Outcome Objectives, identify selected activities; describe key milestones/indicators, and timelines; estimated reach, cost per beneficiary, the lead individuals or organizations, and data sources for performance monitoring. **Objectives should be SMART Objectives (Specific, Measurable, Achievable, Relevant, and Time-Framed).** [Include your Work Plan as Attachment A.]

Performance Monitoring and Evaluation

- Describe plans for collecting data on the selected outcome measures cited in the work plan.
- Describe how lessons learned will be captured and disseminated.
- Describe a plan for developing at least two unique dissemination products about the successes, lessons learned, and results of your project. Products can include but are not limited to poster for poster session, journal article, report or brief, plan, or abstract/presentation of results at a conference.

Budget and Budget Narrative

Include the budget justification and narrative as separate attachments, not to be counted in the narrative page limit. The line item budget and budget narrative should include funding to support all requirements of the RFA, be directly aligned with the stated goals, objectives, outcomes and milestones in the program.

(Note: applicants wishing to apply for more than one of the three strategies described above must submit separate applications.)

B. Focus Area B: Oral Health Education and Awareness

The District of Columbia Department of Health (DOH) Oral Health Program strives to educate individuals about the benefits of regular (preventive) oral healthcare, as well as the options available for establishing a provider relationship (“dental home”).

Funding for Focus Area C will address DOH’s emphasis on adults in Wards 1, 5, 7 and 8, as these are areas where citizens have been most significantly affected by the access-to-care gap. DOH plans to collaborate with community health organizations and institutions to: 1) educate adults about the importance of ongoing preventive oral healthcare; 2) educate these individuals about options available for establishing a “dental home” (charitable organization clinics, dental schools, residency programs, etc.); and 3) actively link individuals to providers or organizations that provide comprehensive, ongoing dental care.

The difficulty of residents to navigate the oral healthcare system creates issues that can affect systemic health and result in higher healthcare costs. Many individuals that do not have access to regular dental care forgo preventive care, leading to chronic dental issues.

Oral diseases that result from chronic inflammation, such as periodontal disease, are associated with poor outcomes in patients with cardiovascular diseases, diabetes, cancer, and joint disorders. Additionally, patients that forgo preventive dental care often suffer from acute episodes of dental pain and infection; patients that cannot access dentists often seek pain and infection management care from emergency room physicians, increasing the workload of hospital emergency departments. Additionally, patients that have untreated dental infections are likely to experience the spread of oral infections to other areas of the body, resulting in the need for critical care support in hospitals.

The following strategies shall be the focus of a grant awarded in Focus Area B.

One grant shall be awarded in the amount of \$50,000. Applicants should address each of the following strategies:

- Partner with existing community organizations to reach individuals that experience limited access to oral healthcare.
- Educate the community about the importance of preventive dental care, as well as the benefits of establishing a “dental home.”
- Actively link members of the community with providers or organizations that can serve as a “dental home.”
- Develop resource guide/tool to be distributed.

Program Overview

- Persons to be reached
- Interventions/Program Models
- Recipient Responsibilities/Activities

Background and Need

- Describe current capacity to support the activities identified in the recipient activities.

- Describe past policy, environmental, programmatic, and infrastructure successes, including lessons learned, if applicable. Identify past policy, environmental, programmatic, and infrastructure successes that have demonstrated improved community outcomes.
- Describe the area in which the project will be located and the intervention population to be served, including population size, and other characteristics. Where feasible and appropriate use local data to describe the health status of the intervention population, including health disparities that characterize the population related to chronic diseases, conditions or risk factors.

Organizational Capacity

- Describe experience in serving the target population(s).
- Describe existing and additional required staff (if any), qualifications, and responsibilities. For vacant proposed positions, identify duties, responsibilities and projected time line for recruitment and time-limited hiring. CV, resumes, position descriptions, and organizational charts may be submitted as appendices.
- Describe how funding will support strategies that align with the goals of the initiative.
- Describe fiscal practices to capture funds leveraged from other sources.
- Describe additional sources of funding the program will pursue.

Partnerships, Linkages, and Referrals

- Describe plans for establishing a new, or engaging an existing, cross-sector network of partners to participate actively in the implementation, and evaluation, if applicable of the applicant's implementation plan.
- Describe past successes working with agencies and organizations in other sectors to advance a community or public health goal and achieve improved community outcomes.
- Provide letters of commitment and evidence of support and connections with other agencies and organizations across multiple relevant sectors pertinent to the accomplishment of the selected outcome measures.
- Explain the process for tracking linkages and their outcomes, and how collecting and reporting data on referrals.

Project Description (Implementation Narrative) & Work Plan

- Describe selected strategies/interventions and how they will be implemented to achieve program goals, objectives and outcome measures.
- Outline the reasoning for selecting the proposed objectives and activities, including an assessment of the current needs and assets in the community and indicate plans for sustainability and leveraging resources. Describe how objectives will maximize public health impact of PHHSBG funding, including strength of proposed policy, environmental, programmatic, and infrastructure strategies, frequency of exposure,

number of people affected, degree to which health disparities will be reduced, or contribution of innovative approaches to achieve evidence base practices.

- Include a Work Plan that includes all of the elements found in the work plan example provided in Attachment A. The work plan should propose Process and Outcome Objectives, identify selected activities; describe key milestones/indicators, and timelines; estimated reach, cost per beneficiary, the lead individuals or organizations, and data sources for performance monitoring. **Objectives should be SMART Objectives (Specific, Measurable, Achievable, Relevant, and Time-Framed). (Include your Work Plan as Attachment A).**

Performance Monitoring and Evaluation

- Describe plans for collecting data on the selected outcome measures cited in the work plan.
- Describe how lessons learned will be captured and disseminated.
- Describe a plan for developing at least two unique dissemination products about the successes, lessons learned, and results of your project. Products can include but are not limited to poster for poster session, journal article, report or brief, plan, or abstract/presentation of results at a conference.

Budget and Budget Narrative

- Include the budget justification and narrative as separate attachments, not to be counted in the narrative page limit. The line item budget justification and narrative should include funding to support all requirements of the RFA, be directly aligned with the stated goals, objectives, outcomes and milestones in the work plan, and training requirements.

V. EVALUATION CRITERIA

Eligible applications will be assessed in each area to extent to which an applicant demonstrates:

A. Background and Need (10 points)

- Demonstrates a clear understanding of the needs, gaps, and issues affecting the selected population(s) and documents a clear need for the proposed program interventions;
- Demonstrates current capacity to perform the work of the RFA, including past successes in improving health outcomes and discussed challenges and how they were addressed in implementing policy, environmental, programmatic, and infrastructure strategies.

B. Organizational Capacity (20 Points)

- Demonstrates experience in serving the target population(s). (Please explain how long you have provided services and describe what kinds of services have been

provided, the outcomes of services you provided, and your relationship with the community.)

- Demonstrates that proposed staff and recruitment plans consistent with the applicant's ability to carry out proposed activities.
- Demonstrate how funding will align to provide adequate resources to accomplish the goals of the initiative.
- Demonstrate adequate fiscal management plans and reporting systems to comply with the reporting requirements.
- Has the applicant provided strong sustainability plans including identification of additional sources of funding to leverage and the ability to capture and report that information?

C. Partnerships, Linkages, and Referrals (15 Points)

- Demonstrate how organization activities support the applicant's ability to carry out activities under this program.
- Are appropriate letters of support included, clearly outlining a commitment to proposed activities?
- Demonstrate their experience and past success collaborating with other organizations (in multiple sectors such as public health, transportation, education, health care delivery, etc.) to improve community outcomes.

D. Implementation Narrative & Work Plan (40 points)

- Does the applicant's proposed plan present a cohesive set of strategies/activities? How well do the proposed strategies address the selected outcome measures for the intervention population, including in relation to health disparities?
- Demonstrate that proposed strategies strive to maximize public health impact of PHHSBG funding (as measured by strength number of people affected, degree to which health disparities will be reduced, or contribution to innovation of viable approaches).
- Does the applicant provide estimated population reach for selected outcomes and objectives?
- Demonstrate that the proposed plan provides a foundation for sustainability of efforts.
- Are outcome objectives SMART and do milestones represent a logical and realistic plan of action for timely and successful achievement of outcome objectives?

E. Performance Monitoring and Evaluation (15 Points)

- Demonstrate how performance monitoring plan likely to allow for continuous program improvement
- Does the measure the program's success and health impact?
- Demonstrate sufficient ability to collect data specific to identified population(s).

- Are the measures of effectiveness included in the application and related to the performance goals stated in the “Background & Purpose” section?
- Provision of plan for developing at least two unique dissemination products.

F. Budget and Budget Narrative (Reviewed, but not scored)

- Is the itemized budget for conducting the project and the justification reasonable and consistent with stated objectives and planned program activities?

VI. APPLICATION SUBMISSION

A. Application Package

Only one application per organization will be accepted for a Program Area. Multiple applications for a single Program Area submitted by one organization will be deemed ineligible and not forwarded to the external review panel. If an organization is applying for more than one Program Area, the organization has to submit one application per Program Area. A Complete **Application Package** shall contain the following:

- A DOH Application for Grant Funding (Appendix D)
- Project Narrative (See Section VII B - Application Elements)
- Other/Appendices/Attachments (See Section VIII)
- Assurance & Certification Packet (See Section VIII Appendix F)

B. Application Elements - Project Narrative & Attachments

- Executive Summary
- Background & Need
- Organizational Capacity Description
- Partnership, Linkages and Referrals Description
- Project Description
- Performance Monitoring & Evaluation
- Attachments
 - Work Plan (Attachment - Required Template)
 - Budget (Attachment - Required Template – Not Scored)
 - Logic Model
 - Letters of Support
 - Position Descriptions (if applicable)

C. Pre-Application Conference

A Pre-Application Conference will be held on May 17, 2013 from 1:00 p.m. to 3:00 p.m. The meeting will provide an overview of CHA’s RFA requirements and address specific

issues and concerns about the RFA. No applications shall be accepted by any DOH personnel at this conference. Do not submit drafts, outlines or summaries for review, comment and technical assistance.

The Pre-Application conference will be held in the District of Columbia at 899 North Capitol Street, NE, 3rd Floor Conference Room 306.

D. Internet

Applicants who received this RFA via the Internet shall provide the District of Columbia, Department of Health, and Office of Partnerships and Grants Services with the information listed below, by contacting bryan.cheseman@dc.gov. Please be sure to put “**RFA Contact Information**” in the subject box.

Name of Organization
Key Contact
Mailing Address
Telephone and Fax Number
E-mail Address

This information shall be used to provide updates and/or addenda to the RFA.

E. Assurances & Certifications

DOH requires all applicants to submit various Certifications, Licenses, and Assurances. This is to ensure all potential grantees are operating with proper DC licenses. The complete compilation of the requested documents is referred to as the Assurance Package. The assurance package must be submitted along with the application. Only ONE package is required per submission.

DOH classifies assurances packages as two types: those “required to submit with applications” and those “required to sign grant agreements.” Failure to submit the required assurance package will likely make the application either ineligible for funding consideration [required to submit assurances] or in-eligible to sign/execute grant agreements [required to sign grant agreements assurances].

If the applicant does not have current versions of the documents listed below on file with DOH they must be submitted with the application.

- A current business license, registration, or certificate to transact business in the District of Columbia
- 501 (C) (3) certification (for non-profit organizations)
- Current certificate of good standing from local tax authority

List of board of directors provided by memo on agency letterhead, including names, titles and signed by the authorized representative of the applicant organization.

F. Format

Prepare application according to the following format:

- Font size: Times New Roman or Arial 12-point unreduced
- Spacing: Double-spaced
- Paper size: 8.5 by 11 inches
- Page margin size: 1 inch
- Printing: Only on one side of page
- Binding: Only by metal (binder) clips or by rubber bands; do not bind in any other way

G. Submission

Submit one (1) original hard copy and five (5) additional hard copies to CHA by 4 pm on June 3, 2013. Applications delivered after that deadline will not be reviewed or considered for funding.

Applications must be delivered to:

District of Columbia Department of Health
Community Health Administration
899 North Capitol Street, NE
3rd Floor, Conference Room 306
Washington, DC 20002

H. Contact Information

Grants Management

Bryan Cheseman
Office of Grants Monitoring & Program Evaluation
202.442.9339
bryan.cheseman@dc.gov

Program Contact

Sherry Billings
Program Manager
Preventive Health and Health Services Block Grant
202.442.9173
sherry.billings@dc.gov

DC Department of Health
Community Health Administration
District of Columbia Government
899 North Capitol Street, N.E., 3rd Floor
Washington, DC 20002

VII. APPLICATION REVIEW & SELECTION INFORMATION

- Applications shall be reviewed by an external review panel made up of technical and subject matter experts for the expressed purpose of providing an independent, objective review of applications. This external review panel shall be responsible for providing a score and technical review comments for record.
- Assurance and certification documents will be reviewed by internal DOH personnel assigned to ascertain whether eligibility and certification requirements have been met prior to consideration of review and recommendation of award.
- Applications, external review scores and technical review comments will be reviewed by an internal DOH review panel for the purpose of determining recommendations for award. The panel may be composed of DOH staff and Advisory Board members who shall be responsible for making recommendations for award, and include recommendations for funding levels, service scopes and targets, project designs, evaluation plans and budgets.
- In the review phase, applicants may be asked to answer questions or to clarify issues raised during the technical review process. No external review panel member will contact the applicant.
- DOH may request an in-person presentation to answer questions or clarify issues raised during the review process.
- Applicants approved for pre-award review will receive a Notice of Intent to Fund. The notice will outline pre-award requirements and propose any revisions and conditions of awards.
- Successful applicants will receive a Notice of Grant Award (NOGA) from the Department of Health. The NOGA shall be the only binding, authorizing document between the recipient and DOH. The NOGA will be signed by an authorized Grants Management Officer and e-mailed to the program director. A hard copy of the NOGA will be mailed to the recipient fiscal officer identified in the application.

VIII. OTHER/APPENDICES/ATTACHMENTS

APPENDIX A - GLOSSARY

For the purposes of this RFA, please use the following definitions as guidance:

Applicant:	A single non-profit organization submitting an application for itself or for multiple organizations.
Reach:	Estimated number of unique individuals impacted by the PHHS Block Grant program initiatives. The count never exceeds a community Census figure.
Intervention:	An activity to reduce chronic diseases such as heart disease, cancer, stroke, and diabetes through promotion of evidence-based strategies.
Setting:	The places or organizations in which the initiatives are implemented and take place. For example, an objective might state that it is implementing physical activity requirements at a school or the community. The settings would be “school and community.”
People experiencing health disparities:	Identified targeted populations at risk for health disparities. Not all objectives or activities specifically target a disparate population. However, many objectives may reach people experiencing health disparities as part of its overall community reach. For example, low-income individuals would be reached if an entire population was reached by a particular objective.

APPENDIX B - CALCULATING REACH

What is Reach?

Estimated number of unique individuals exposed to the PHHSBG program interventions

Why do we Need to Measure the Reach of our Interventions?

- Assure and quantify we have the greatest impact
- Used to monitor PHHSBG performance by CDC Director
- Used to meet CDC reporting requirements for HHS
- Used in Congressional Budget Justification
- Used to inform evaluators, awardees, partners, media, and others

Sample Question Answered by Reach

- How many schools across the U.S. are engaged in physical activity-related interventions?
 - How many students are impacted?
 - How many low-income students?

Limitations of Reach Data

- Do not consider 'dose' or effect size of interventions
- Are estimates only
- Provide snapshots in time for continually changing numbers
- Assume fidelity of implementation of practice and evidence-based strategies
- Cannot gauge health outcomes

APPENDIX C – RESOURCES

Research needed on evidenced-based practices related to Healthy People 2020 Objectives for Nutrition, Obesity and physical activity

Healthy People 2020 Objective – Nutrition and Weight Status

Healthy People 2020 Objective – Oral Health

Healthy People 2020 Objective – Physical Activity

<http://healthypeople.gov/2020/TOPICOBJECTIVES2020/>

ATTACHMENT A - WORK PLAN TEMPLATE 2.0

Applicant Organization
 Contact Person:
 Telephone:
 Email Address:
 Estimated Reach:

DOH RFA#
 RFA Title:
 Project Title:
 Total Request \$:
 Cost Per Beneficiary:

PROPOSED WORK PLAN*

SMART GOAL 1: Insert in this space one proposed project goal. Proceed to outline administrative and project objectives, activities and targeted dates in the spaces below. Identify key persons and roles.

Measurable Objectives/Activities:

Objective #1.1:

Key Indicator(s):

Key Partners:

Key activities needed to meet this objective:

Start Date:

Completion Date:

Key Personnel (Title) / Contractor/s

1			
2			
3			

Objective #1.2:

Key Indicator(s):

Key Partners:

Key activities needed to meet this objective:

Start Date:

Completion Date:

Key Personnel (Title) / Contractor/s

1			
2			
3			

Objective #1.3:

Key Indicator(s):

Key Partners:

Key activities needed to meet this objective:

Start Date:



Completion Date:

Key Personnel (Title) / Contractor/s

1			
2			
3			

Continue with this format to outline additional goals and related process objectives.

ATTACHMENT B - LOGIC MODEL EXAMPLE

RESOURCES/INPUTS 	ACTIVITIES	OUTPUTS	SHORT TERM OUTCOMES 	INTERMEDIATE OUTCOMES	LONG TERM OUTCOMES
<p>What resources are available to support the program that is being evaluated (e.g. staff, funding, time, partnerships, technology, etc.)?</p>	<p>What specific activities are undertaken or planned to achieve the program outcomes?</p>	<p>What products (e.g. materials, units of services delivered) are produced by your staff as a result of the activities performed?</p>	<p>What occurs between your activities and the point at which you see these ultimate outcomes?</p>	<p>What occurs between your activities and the point at which you see these ultimate outcomes?</p>	<p>What do you ultimately want to change as a result of your activities?</p>

ATTACHMENT C - BUDGET AND BUDGET NARRATIVE FORMAT

For additional guidance <http://www.cdc.gov/od/pgo/funding/budgetguide.htm>

The following is a sample format to complete you budget narrative

A. Salaries and Wages

Total: \$

Name	Position Title	Annual Salary	Time	Months	Amount Requested

Position Descriptions/Justifications:

Program Director

Brief description of role and key responsibilities.

Position Title # 2

Brief description of role and key responsibilities.

Position Title # 3

Brief description of role and key responsibilities.

B. Fringe Benefits

Total: \$

Fringe benefits are applicable to direct salaries and are treated as direct costs. The fringe benefit rate for the _____ is ____% of [insert salaries total] salaries, \$ x ____% = \$.

C. Consultants/Contracts

Total: \$

Contractor #1		\$
Name of Contractor		
Method of Selection (check appropriate box)	Sole Source*	Competitive
*If Sole Source - include an explanation as to why this institution is the only one able to perform contract services		
Period of Performance	Start Date of Contract	End Date of Contract
Scope of Work Written as outcome measures Specify deliverables Relate to program objectives/activities		
Method of Accountability (describe how the contract will be monitored)		
Budget		

D. Equipment

Total: \$

E. Supplies

Total: \$

General office supplies (pens, paper, etc.) \$1,200.00
 (18 months x \$300/year x 2 staff)

The funding will be used to furnish the necessary supplies for staff to carry out the requirements of the grant.

F. Travel

Total: \$

Provide details and rationale for proposed in-state and out of state travel

G. Other

Total: \$

Provide details and rationale for any other items required to implement the award.

H. Total Direct Cost

Total: \$

Salary and Wages	
Fringe	
Contracts	
Equipment	
Supplies	
Travel	
Other	
Total Direct	

I. Total Indirect Cost


Total: \$

Indirect cost is calculated as a percentage of total personnel cost
 (Salary \$___ + fringe benefits \$ ___ x 10%)

J. Total Financial Request Summary

Salary and Wages	
Fringe	
Contracts/Consultant	
Equipment	
Supplies	
Travel	
Other	
Total Direct	
Indirect Cost	
Total Financial Request	

ATTACHMENT D - APPLICATION FOR GRANT FUNDING

		Department of Health District of Columbia Application for Grant Funding	
RFA #		RFA Title:	
Release Date:		DOH Administrative Unit:	Community Health Administration
Due Date:		Fund Authorization:	Pursuant to terms of CDC NOA#
<input checked="" type="checkbox"/> New Application <input type="checkbox"/> Supplemental <input type="checkbox"/> Competitive Continuation <input type="checkbox"/> Non-competitive Continuation			
The following documents should be submitted to complete the Application Package: <ul style="list-style-type: none"> ▪ DOH Application for Grant Funding (inclusive of DOH & Federal Assurances & Certifications) ▪ Project Narrative (as per the RFA Guidance) ▪ Project Work Plan (per the RFA Guidance) ▪ Budget and Narrative Justification ▪ All Required attachments ▪ An Assurance and Certification Package 			
Complete the Sections Below. All information requested is mandatory.			
1. Applicant Profile:		2. Contact Information:	
Legal Agency Name:		Agency Head:	
Street Address:		Telephone #:	
City/State/Zip		Email Address:	
Ward Location:			
Main Telephone #:		Project Manager:	
Main Fax #:		Telephone #:	
Vendor ID:		Email Address:	
DUNS No.:			
3. Application Profile:			
Select One Only:		Program Area:	Funding Request:
		[] Active Transportation (Focus A) (Focus B)	
		[] Active Transportation	
		[] Tobacco Free Living	
		[] Chronic Disease Self Management & Prevention	
	[] Baby Friendly Hospitals		
Proposal Description: 200 word limit			

PREVENTIVE HEALTH & HEALTH SERVICES BLOCK Grant

Enter Name & Title of Authorized Representative

Date

ATTACHMENT E - APPLICATION RECEIPT



Application Receipt for RFA#CHA- PHHSBG051013_

The Applicant shall prepare two copies of this sheet. The DOH representative will date-stamp both copies and return one copy to you for your records. The stamped receipt shall serve as documentation that the Department of Health is in receipt of your organization's application for funding. The receipt is not documentation of a review by DOH personnel. Please accept and hold your receipt as confirmation that DOH has received and logged-in your application. Note: Receipts for late applications may be provided upon delivery of your application, but late applications will not be forwarded to the review panel for consideration.

The District of Columbia Department of Health, Community Health Administration is in receipt of an application package in response to RFA#CHA-PHHSBG051013. The application package has been submitted by an authorized representative for the following organization:

(Applicant Organization Name)

(Address, City, State, Zip Code)

(Telephone)

(Fax)

(E-mail Address)

Submitted by:

(Contact Name/Please Print Clearly)

(Signature)

For identification and tracking purposes only:

- 1. Your Proposal Program Title:
2. Amount Requested:
3. Program / Service Area for which funds are requested in the attached application: (check one)
[] Focus Area A: Nutrition, Obesity, and Physical Activity-Planning and Implementation
[] Focus Area B: Oral Health Education and Awareness

Table with 2 columns: Application details (ORIGINAL APPLICATION PACKAGE AND (NO.) OF COPIES, Received on this date, Time Received, Received by) and Date Stamp.

District of Columbia Department of Health Use Only

ATTACHMENT F - ASSURANCES AND CERTIFICATIONS



GOVERNMENT OF THE DISTRICT OF COLUMBIA
Department of Health

Statement of Certification for a DOH Notice of Grant Award

- A. The Applicant/Grantee has provided the individuals, by name, title, address, and phone number who are authorized to negotiate with the Agency on behalf of the organization; (attach)
- B. The Applicant/Grantee is able to maintain adequate files and records and can and will meet all reporting requirements;
- C. The Applicant/Grantee certifies that all fiscal records are kept in accordance with Generally Accepted Accounting Principles (GAAP) and account for all funds, tangible assets, revenue, and expenditures whatsoever; that all fiscal records are accurate, complete and current at all times; and that these records will be made available for audit and inspection as required;
- D. The Applicant/Grantee is current on payment of all federal and District taxes, including Unemployment Insurance taxes and Workers' Compensation premiums. This statement of certification shall be accompanied by a certificate from the District of Columbia OTR stating that the entity has complied with the filing requirements of District of Columbia tax laws and has paid taxes due to the District of Columbia, or is in compliance with any payment agreement with OTR; (attach)
- E. The Applicant/Grantee has the demonstrated administrative and financial capability to provide and manage the proposed services and ensure an adequate administrative, performance and audit trail;
- F. That, if required by the grant making Agency, the Applicant/Grantee is able to secure a bond, in an amount not less than the total amount of the funds awarded, against losses of money and other property caused by fraudulent or dishonest act committed by any employee, board member, officer, partner, shareholder, or trainee;
- G. That the Applicant/Grantee is not proposed for debarment or presently debarred, suspended, or declared ineligible, as required by Executive Order 12549, "Debarment and Suspension," and implemented by 2 CFR 180, for prospective participants in primary covered transactions and is not proposed for debarment or presently debarred as a result of any actions by the District of Columbia Contract Appeals Board, the Office of Contracting and Procurement, or any other District contract regulating Agency;
- H. That the Applicant/Grantee has the financial resources and technical expertise necessary for the production, construction, equipment and facilities adequate to perform the grant or subgrant, or the ability to obtain them;
- I. That the Applicant/Grantee has the ability to comply with the required or proposed delivery or performance schedule, taking into consideration all existing and reasonably expected commercial and governmental business commitments;
- J. That the Applicant/Grantee has a satisfactory record of performing similar activities as detailed in the award or,

PREVENTIVE HEALTH & HEALTH SERVICES BLOCK Grant

if the grant award is intended to encourage the development and support of organizations without significant previous experience, that the Grantee has otherwise established that it has the skills and resources necessary to perform the grant. In this connection, Agencies may report their experience with an Grantee's performance to OPGS which shall collect such reports and make the same available on its intranet website.

- K. That the Applicant/Grantee has a satisfactory record of integrity and business ethics;
- L. That the Applicant/Grantee has the necessary organization, experience, accounting and operational controls, and technical skills to implement the grant, or the ability to obtain them;
- M. That the Applicant/Grantee is in compliance with the applicable District licensing and tax laws and regulations;
- N. That the Applicant/Grantee complies with provisions of the Drug-Free Workplace Act; and
- O. That the Applicant/Grantee meets all other qualifications and eligibility criteria necessary to receive an award under applicable laws and regulations.
- P. That the Applicant/Grantee agrees to indemnify, defend and hold harmless the Government of the District of Columbia and its authorized officers, employees, agents and volunteers from any and all claims, actions, losses, damages, and/or liability arising out of this grant or subgrant from any cause whatsoever, including the acts, errors or omissions of any person and for any costs or expenses incurred by the District on account of any claim therefore, except where such indemnification is prohibited by law.

As the duly authorized representative of the applicant/grantee organization, I hereby certify that the applicant or Grantee, if awarded, will comply with the above certifications.

Applicant /Grantee Name

Street Address

City

State

Zip Code

Application Number and/or Project Name

Grantee IRS/Vendor Number

Typed Name and Title of Authorized Representative

Signature

Date



GOVERNMENT OF THE DISTRICT OF COLUMBIA
Department of Health
Statement of Assurances to Comply with Federal Assurances

The Grantee hereby assures and certifies compliance with all Federal statutes, regulations, policies, guidelines and requirements, including OMB Circulars No. A-21, A-110, A-122, A-128, A- 87; E.O. 12372 and Uniform Administrative Requirements for Grants and Cooperative Agreements -28 CFR,

Part 66, Common Rule that govern the application, acceptance and use of Federal funds for this federally-assisted project.

Also, the Grantee assures and certifies that:

1. It possesses legal authority to apply for the grant; that a resolution, motion or similar action has been duly adopted or passed as an official act of The Grantee's governing body, authorizing the filing of the application, including all understandings and assurances contained therein, and directing and authorizing the person identified as the official representative of The Grantee to act in connection with the application and to provide such additional information as may be required.
2. It will comply with requirements of the provisions of the Uniform Relocation Assistance and Real Property Acquisitions Act of 1970 PL 91-646 which provides for fair and equitable treatment of persons displaced as a result of Federal and federally-assisted programs.
3. It will comply with provisions of Federal law which limit certain political activities of employees of a State or local unit of government whose principal employment is in connection with an activity financed in whole or in part by Federal grants. (5 USC 1501, et. seq.).
4. It will comply with the minimum wage and maximum hour's provisions of the Federal Fair Labor Standards Act if applicable.
5. It will establish safeguards to prohibit employees from using their positions for a purpose that is or gives the appearance of being motivated by a desire for private gain for themselves or others, particularly those with whom they have family, business, or other ties.
6. It will give the sponsoring agency of the Comptroller General, through any authorized representative, access to and the right to examine all records, books, papers, or documents related to the grant.
7. It will comply with all requirements imposed by the Federal-sponsoring agency concerning special requirements of Law, program requirements, and other administrative requirements.
8. It will insure that the facilities under its ownership, lease or supervision which shall be utilized in the accomplishment of the project are not listed on the Environmental Protection Agency's (EPA) list of Violating Facilities and that it will notify the Federal grantor agency of the receipt of any communication from the Director of the EPA Office of Federal Activities indicating that a facility to be used in the project is under consideration for listing by the EPA.
9. It will comply with the flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973, Public Law 93-234-, 87 Stat. 975, approved December 31,1976. Section 102(a) requires, on and after March

PREVENTIVE HEALTH & HEALTH SERVICES BLOCK Grant

2, 1975, the purchase of flood insurance in communities where such insurance is available as a condition for the receipt of any Federal financial assistance for construction or acquisition purposes for use in any area that has been identified by the Secretary of the Department of Housing and Urban Development as an area having special flood hazards. The phrase "Federal Financial Assistance" includes any form of loan, grant, guaranty, insurance payment, rebate, subsidy, disaster assistance loan or grant, or any other form of direct or indirect Federal assistance.

10. It will assist the Federal grantor agency in its compliance with Section 106 of the National Historic Preservation Act of 1966 as amended (16 USC 470), Executive Order 11593, and the Archeological and Historical Preservation Act of 1966 (16 USC 569a-1 et. seq.) By (a) consulting with the State Historic Preservation Officer on the conduct of investigations, as necessary, to identify properties listed in or eligible for inclusion in the National Register of Historic Places that are subject to adverse effects (see 36 CFR Part 800.8) by the activity, and notifying the Federal grantor agency of the existence of any such properties, and by (b) complying with all requirements established by the Federal grantor agency to avoid or mitigate adverse effects upon such properties.
11. It will comply with the provisions of 28 CFR applicable to grants and cooperative agreements including Part 18. Administrative Review Procedure; Part 22, Confidentiality of Identifiable Research and Statistical Information; Part 42, Nondiscrimination/Equal Employment Opportunity Policies and Procedures; Part 61, Procedures for Implementing the National Environmental Policy Act; Part 63, Floodplain Management and Wetland Protection Procedures; and Federal laws or regulations applicable to Federal Assistance Programs.

It will comply, and all its contractors will comply with; Title VI of the Civil Rights Act of 1964, as amended; Section 504 of the Rehabilitation Act of 1973, as amended; Subtitle A, Title III of the Americans with Disabilities Act (ADA) (1990); Title IIX of the Education Amendments of 1972 and the Age Discrimination Act of 1975.

12. In the event a Federal or State court or Federal or State administrative agency makes a finding of discrimination after a due process hearing on the grounds of race, color, religion, national origin, sex, or disability against a recipient of funds, the recipient will forward a copy of the finding to the Office for Civil Rights, U.S. Department of Justice.
13. It will provide an Equal Employment Opportunity Program if required to maintain one, where the application is for \$500,000 or more.
14. It will comply with the provisions of the Coastal Barrier resources Act (P.L 97-348) dated October 19, 1982, (16 USC 3501 et. Seq) which prohibits the expenditure of most new Federal funds within the units of the Coastal Barrier Resources System.
15. In addition to the above, the Grantee shall comply with all the applicable District and Federal statutes and regulations as may be amended from time to time including, but not necessarily limited to:
 - a) The Hatch Act, Chap. 314, 24 Stat. 440 (7 U.S.C. 361a et seq.)
 - b) The Fair Labor Standards Act, Chap. 676, 52 Stat. 1060 (29 U.S.C.201 et seq.)
 - c) The Clean Air Act (Subgrants over \$100,000) Pub. L. 108-201, February 24, 2004, 42 USC cha. 85et.seq.
 - d) The Occupational Safety and Health Act of 1970, Pub. L. 91-596, Dec. 29, 1970, 84 Stat. 1590 (26 U.S.C. 651 et.seq.)
 - e) The Hobbs Act (Anti-Corruption), Chap 537, 60 Stat. 420 (see 18 U.S.C. § 1951)
 - f) Equal Pay Act of 1963, Pub. L. 88-38, June 10, 1963, 77 Stat.56 (29 U.S.C. 201)
 - g) Age Discrimination in Employment Act, Pub. L. 90-202, Dec. 15, 1967, 81 Stat. 602 (29 U.S.C. 621 et. seq.)
 - h) Immigration Reform and Control Act of 1986, Pub. L. 99-603, Nov 6, 1986, 100 Stat. 3359, (8 U.S.C. 1101)
 - i) Executive Order 12459 (Debarment, Suspension and Exclusion)
 - j) Medical Leave Act of 1993, Pub. L. 103-3, Feb. 5, 1993, 107 Stat. 6 (5 U.S.C. 6381 et seq.)
 - k) Lobbying Disclosure Act, Pub. L. 104-65, Dec. 19, 1995, 109 Stat. 693 (31 U.S.C. 1352)
 - l) Drug Free Workplace Act of 1988, Pub. L. 100-690, 102 Stat. 4304 (41 U.S.C. 701 et seq.)

PREVENTIVE HEALTH & HEALTH SERVICES BLOCK Grant

- m) Assurance of Nondiscrimination and Equal Opportunity as found in 29 CFR 34.20
- n) District of Columbia Human Rights Act of 1977, D.C. Official Code § 2-1401.01
- o) District of Columbia Language Access Act of 2004, DC Law 15 – 414, D.C. Official Code § 2-1931 et seq.)
- p) Federal Funding

As the duly authorized representative of the applicant/grantee organization, I hereby certify that the applicant or Grantee, if awarded, will comply with the above certifications.

Applicant /Grantee Name

Street Address

City

State

Zip Code

Application Number and/or Project Name

Grantee IRS/Vendor Number

Typed Name and Title of Authorized Representative

Signature



GOVERNMENT OF THE DISTRICT OF COLUMBIA
Department of Health
Certifications Regarding

Lobbying, Debarment and Suspension, Other Responsibility Matters, and Requirements for a Drug-Free Workplace

Grantees should refer to the regulations cited below to determine the certification to which they are required to attest. Grantees should also review the instructions for certification included in the regulations before completing this form. Signature of this form provides for compliance with certification requirements under 28 CFR Part 69, "New Restrictions on Lobbying" and 28 CFR Part 67, "Government-wide Debarment and Suspension (Non-procurement) and Government-wide Requirements for Drug-Free Workplace (Grants)." The certifications shall be treated as a material representation of fact.

1. Lobbying

As required by Section 1352, Title 31 of the U.S. Code and implemented at 28 CFR Part 69, for persons entering into a grant or cooperative agreement over \$100,000, as defined at 28 CFR Part 69, the Grantee certifies that:

- (a) No Federally appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress; an officer or employee of Congress, or an employee of a Member of Congress in connection with the making of any Federal grant, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal grant or cooperative agreement;
- (b) If any funds other than Federally appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal grant or cooperative agreement, the undersigned shall complete and submit Standard Form -III, "Disclosure of Lobbying Activities," in accordance with its instructions;
- (c) The undersigned shall require that the language of this certification be included in the award documents for all sub awards at all tiers including subgrants, contracts under grants and cooperative agreements, and subcontracts and that all sub-recipients shall certify and disclose accordingly.
- (b) If any funds other than Federally appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal grant or cooperative agreement, the undersigned shall complete and submit Standard Form -III, "Disclosure of Lobbying Activities," in accordance with its instructions;
- (c) The undersigned shall require that the language of this certification be included in the award documents for all sub awards at all tiers including subgrants, contracts under grants and cooperative agreements, and subcontracts and that all sub-recipients shall certify and disclose accordingly.

2. Debarments and Suspension, and Other Responsibility Matters (Direct Recipient)

As required by Executive Order 12549, Debarment and Suspension, and implemented at 28 CFR Part 67, for prospective participants in primary covered transactions, as defined at 28 CFR Part 67, Section 67.510-

The Grantee certifies that it and its principals:

- A. Are not presently debarred, suspended, proposed for debarment, declared ineligible, sentenced to a denial of Federal benefits by a State or Federal court, or voluntarily excluded from covered transactions by any Federal department or agency;
- B. Have not within a three-year period preceding this application been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to

PREVENTIVE HEALTH & HEALTH SERVICES BLOCK Grant

obtain, or performing a public Federal, State, or local transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;

- C. Are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (Federal, State, or Local) with commission of any of the offenses enumerated in paragraph (I)(b) of this certification; and
- D. Have not within a three-year period preceding this application had one or more public transactions (Federal, State, or Local) terminated for cause or default; and

Where the Grantee is unable to certify to any of the statements in this certification, he or she shall attach an explanation to this application.

3. Drug-Free Workplace (Awardees Other Than Individuals)

As required by the Drug Free Workplace Act of 1988, and implemented at 28 CFR Part 67, Subpart F. for Awardees, as defined at 28 CFR Part 67 Sections 67.615 and 67.620;

The Grantee certifies that it will or will continue to provide a drug-free workplace by:

- A. Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the Grantee's workplace and specifying the actions that will be taken against employees for violation of such prohibition.
- B. Establishing an on-going drug-free awareness program to inform employee's about:
 - (1) The dangers of drug abuse in the workplace;
 - (2) The Grantee's policy of maintaining a drug-free workplace;
 - (3) Any available drug counseling, rehabilitation, and employee assistance programs; and
 - (4) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace.
 - (5) Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph (a).
 - (6) Notifying the employee in the statement required by paragraph (a) that, as a condition of employment under the grant, the employee would---
 - (7) Abide by the terms of the statement; and
 - (8) Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction.
 - (9) Notifying the agency, in writing, within 10 calendar days after receiving notice under subparagraph (d)(2) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title to: The Office of the Senior Deputy Director for Health Promotion, 825 North Capitol St. NE, Room 3115, Washington DC 20002. Notice shall include the identification number(s) of each effected grant.
 - (10) Taking one of the following actions, within 30 calendar days of receiving notice under subparagraph (d)(2), with respect to any employee who is so convicted ---
 - (a) Taking appropriate personnel action against such an employee, up to and incising termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or
 - (b) Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by Federal, State, or local health, law enforcement, or other appropriate agency.
 - (c) Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs (a), (l), (c), (d), (e), and (1).

PREVENTIVE HEALTH & HEALTH SERVICES BLOCK Grant

- (11) The Grantee may insert in the space provided below the sites) for the performance of work done in connection with the specific grant:
Place of Performance (Street address, city, county, state, zip code)
Drug-Free Workplace Requirements (Awardees who are Individuals)
As required by the Drug-Free Workplace Act of 1988, and implemented at 28 CFR Part 67, subpart F, for Awardees as defined at 28 CFR Part 67; Sections 67615 and 67.620-
- (12) As a condition of the grant, I certify that I will not engage in the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance in conducting any activity with the grant; and
- (13). If convicted of a criminal drug offense resulting from a violation occurring during the conduct of any grant activity, I will report the conviction, in writing, within 10 calendar days of the conviction, to:
D.C. Department of Health, 899 N. Capitol St., NE, Washington, DC 20002

As the duly authorized representative of the applicant/grantee organization, I hereby certify that the applicant or Grantee, if awarded, will comply with the above certifications.

Applicant /Grantee Name

Street Address

City

State

Zip Code

Application Number and/or Project Name

Grantee IRS/Vendor Number

Typed Name and Title of Authorized Representative

Signature