DC | DEPARTMENT of HUMAN SERVICES

Office of the Director

SEP 20 2019

Mr. Lynwood McDaniel, Jr.
Social Services Program Specialist
Social Services Block Grant
Office of Community Services
U.S. Department of Health and Human Services
330 C Street, SW, 5th Floor
Washington, DC 20201

Dear Mr. McDaniel:

Enclosed is the Fiscal Year 2020 Social Services Block Grant (SSBG) Pre-Expenditure Report and Intended Use Plan for the District of Columbia. The period covered is the Federal Fiscal Year 2020 from October 1, 2019 to September 30, 2020.

If you have any questions or require additional information, please contact Tania Mortensen, Deputy Administrator, Family Services Administration Operations at 202.698.4121 or George Shepard, Policy Analyst at 202.299.2152.

Your continued support of the social services programs in the District of Columbia is greatly appreciated.

Sincerely,

Laura Green Zeilinger
Director

LGZ/gls

Enclosure

Cc: Tania Mortensen, Deputy Administrator, DHS

DC | DEPARTMENT of HUMAN SERVICES

FY 2020 SOCIAL SERVICES BLOCK GRANT PRE-EXPENDITURE REPORT AND INTENDED USE PLAN

I. Federal Fiscal Year Covered: October 1, 2019 - September 30, 2020

II. Letter of Transmittal

The letter of transmittal is provided as an attachment.

III. Public Inspection

The Pre-Expenditure Report and Intended Use Plan will be available on the website of the Department of Human Services (DHS). There will be an email link for comments and a link to correspond with the Director for comments.

IV. Narrative

A. Administrative Operations

1. State Administrative Agency

The programs funded by the Social Services Block Grant are administered within the Department of Human Services. The mission of DHS is to provide comprehensive and high quality human services to enhance life in the District of Columbia by empowering every District resident to reach their full potential by providing meaningful connections to work opportunities, economic assistance, and supportive services. In order to achieve this mission, DHS works to assure the provision of social services that will enable the maximum number of resident families and individuals to become or remain economically and socially self-sufficient. The Family Services Administration (FSA) and the Economic Security Administration (ESA) are administrations within DHS that are responsible for the effective management and implementation of services and programs.

In the District of Columbia, the SSBG program represents one of the funding sources that provide the foundation for the provision of a wide range of social and supportive services to resident families, children, and individuals.

2. State Entities Allocated Funds

a. SSBG funds in the amount of $229,251.00 are allocated to the Office of the State Superintendent of Education (OSSE), Division of Early Learning (DEL). DEL provides leadership and coordination to ensure that all children in the District of Columbia have access to high quality early childhood development programs. DEL works to develop an effective

early childhood education system by implementing high standards for programs and professionals, creating supports to meet standards, adhering to rigorous accountability measures, engaging community stakeholders, and securing strong financial supports.

DEL is organized into five units: Operations and Grants Management; Policy, Planning, and Research; Licensing and Compliance; Quality initiatives; and Early Intervention.

b. SSBG funds in the amount of $1,886,291.00 are allocated to the Department of Aging and Community Living (DACL). The mission of DACL is to advocate, plan, implement, and monitor programs in health, education, and social services that promote longevity, independence, dignity, and choice for older District residents (age 60 plus), people with disabilities (age 18 to 59), and their caregivers. DACL develops and carries out a comprehensive and coordinated system of health, education, and social services for its clients.

The Adult Protective Services (APS) program will be transferred from the Family Services Administration (FSA) of the Department of Human Services (DHS) to DACL in FY20 with SSBG funding to support its continuing service to vulnerable adults who experience abuse, neglect, or exploitation. This change is expected to create more streamlined and effective access to services and supports for older District residents while allowing DACL to better identify and respond to trends around exploitation, abuse, and neglect.

B. Fiscal Operations

No response.

C. Program Operations

1. SSBG Statutory Goals the State Plans to Achieve

Compatible with the goals of the federal Social Services Block Grant program set forth under 42 USC 1397, the program goals of DHS and its partners are as follows:

- to assist families and individuals in achieving or maintaining economic self-sufficiency;
- to reduce homelessness through prevention and to assist families and individuals experiencing homelessness by ensuring year-round access to shelter and appropriate accommodations in emergencies;
- to assist families and individuals to regain and maintain housing with services that are responsive to their needs through more engagement and connection to services, as well as sustained investments to ensure that District residents have access to affordable housing solutions.
- to prevent or remedy neglect, abuse, or exploitation of adults who are unable to protect their own interests;
- to prevent or reduce inappropriate institutionalization by providing community-based or

home-based care, or other alternate care that is less intensive than institutionalization;

- to provide home-based services as a support to families, disabled adults, and the frail elderly to prevent institutionalization;
- to provide child care services to children of parents who are receiving training, who are working, or who are income eligible for training; and
- to provide child care services to children who are disabled or in the protective services, experiencing homelessness, or in a foster care program.

2. Characteristics of Those to be Served

While eligibility criteria will be consistent with the discrete requirements of each service or program, the primary criteria for participation in SSBG services or programs are the level of need of clients and their residency status. All SSBG-funded services are limited to families, adults, or children who are residents of the District of Columbia.

The District provides a combination of social and supportive services using SSBG funds. The services are designed to meet the special needs of children, the elderly, vulnerable adults, those who are emotionally and physically disabled, families with emergency or crisis needs, individuals and families experiencing homelessness, and families and individuals who are TANF recipients.

Most SSBG services are provided without regard to income. These services include protective services for adults, homemaker services for frail elderly and disabled adults active in adult protective services, services for individuals and families experiencing homelessness, and other services in keeping with the missions of the Department of Human Services and its partner agencies.

Child care services are provided on a priority basis to children of families with very low family income; children with special needs, including vulnerable children; and children experiencing homelessness. The income eligibility is based on relevant Federal and District laws, regulations, policies, and procedures.

The only service supported by SSBG funds that requires a client fee is child care. The client fee applies only to income-eligible families whose income is 62 percent or above the median income (adjusted for family size). A sliding fee scale is applied to a family whose income is between 62 and 106 percent of the median income, and no parent will pay more than 20 percent of the actual cost of any type of child care services.

The Adult Protective Services (APS) program, aligned with the Department of Aging and Community Living (DACL) in FY20, investigates allegations of adult abuse, neglect, self-neglect, and exploitation of vulnerable adults unable to protect themselves in accordance with the Adult Protective Services Act of 1984 (D.C. Law 5-156). The statute requires that APS investigations begin within ten (10) working days where the circumstances do not present the risk of life-threatening harm and within twenty-four (24) hours in cases alleging risk of life-threatening harm. The law further mandates APS to

have the capacity to receive and investigate reports on a 24-hour, seven (7) day a week basis. APS serves, without regard to income, vulnerable, impaired clients eighteen years of age and older who are in need of protection.

The APS law was amended in 2006 through the enactment of the Karyn Barquin Act that mandated APS to offer services to impaired, self-neglecting individuals residing in the District. These are individuals who are at risk of self-neglect because of physical or mental impairments and an inability to protect themselves. Most of these clients have Alzheimer's, dementia, and other memory loss diseases that impact judgment and decision-making abilities.

The Homeless Shelter Services Program ensures the provision of transitional and permanent housing, emergency shelter, and case management and support services to homeless families and individuals through a network of community-based programs that enables persons who are homeless to stabilize their lives. The comprehensive system of homeless services is a Continuum of Care (CoC) through which individuals and families experiencing homelessness receive services based on their level of need.

The Division of Early Learning (DEL) of the Office of the State Superintendent of Education serves the following populations:

- Children six-weeks through 12 years of age and up to age 19 if the child has a disability;
- Children of pre-kindergarten age (three and four years old);
- The early child care and education workforce in the District of Columbia;
- Parents and guardians of children in the District who seek information on where to locate child care and guidelines for selecting child care.

Relevant definitions are included in Table 1:

Table 1	**Definitions**
Adult	Any individual who has reached the age of majority of 18 years of age under District law as defined in § 46-101 of the D.C. code or qualifies as an emancipated minor under District law.
Child	An individual six (6) weeks of age through twelve (12) years of age and up to age nineteen (19) if the child has a disability and the family is eligible for and needs financial assistance to pay for child care.
Client	An individual or household seeking, receiving, or eligible for services from a District program.
Continuum of Care (CoC)	This is the comprehensive system of services for individuals and families who are experiencing homelessness or at risk of homelessness.

Table 1	Definitions
Family	A family is a group of individuals with at least one minor or dependent child, regardless of blood relationship, age, or marriage whose history and statements reasonably tend to demonstrate that they intend to remain as a family unit or a pregnant woman in her third trimester.
Homeless	Individuals and families that lack a fixed, regular residence and the financial ability to immediately acquire one or individuals and families that that have a primary nighttime residence in a shelter or in a place not designed for regular sleeping accommodations for human beings.
Household	All occupants of a housing unit, including related and unrelated people, if any, such as foster children and significant others who are part of the housing unit.
Individual	A person who is not a part of a family during an episode of homelessness.
Provider	An entity selected by the District to provide services and perform activities set forth in a contractual agreement.
Self-Sufficiency	The ability to provide for one's own social and economic needs with little to no assistance from others, including the government.
Youth	An individual between thirteen (13) and seventeen (17) years of age as defined in Chapter4 of the D.C. Personnel Regulations and Title 27 of the District of Columbia Municipal Regulations.

3. Types of Activities to be Supported

Services to clients are delivered by a variety of methods. The District employs licensed social workers and other professionals to deliver or to facilitate delivery of necessary services to clients throughout the District. Additionally, the District uses both contracts and grants awarded to qualified private entities to deliver services when these are the best options to meet the needs of particular populations or to fulfill the mission of DHS in the most efficient and effective way. The satisfaction of the needs of clients is always the primary consideration in determining the delivery method of services.

DHS also actively participates in the Interagency Council on Homelessness (ICH), a public/private interagency workgroup that focuses on collaboration, planning, and advocacy for services for residents who are experiencing homeless. Cooperating District government agencies include Departments of Mental Health, Health, Parks and Recreation, and D.C. Public Schools. Community-based service agencies, advocates, and the D.C. Housing Authority also participate in this group.

The District of Columbia is 61.05 square miles in land area divided into four quadrants and eight wards. Social Services Block Grant funds will be used to support social

services in the District of Columbia, an urban community of over 700,000 residents.[1] Delivery of services will be discharged on a District-wide basis with a focus on meeting client needs and fulfilling the mission of the Department of Human Services and other departments receiving SSBG funding. The population consists of 46.4% African American, 37.1% White, 4.4% Asian, 11.3% Hispanic or Latino, and 0.4% other races.

V. Pre-Expenditure Reporting Form

This form is available on the SSBG Data Portal.

VI. Appendices

Appendix A: Documentation of Public Hearing

As noted in Section III above, Public Inspection, The Pre-Expenditure Report and Intended Use Plan will be available on the website of the Department of Human Services (DHS). There will be an email link for comments and a link to correspond with the Director for comments.

The announcement is as follows:

> The Department of Human Services is pleased to announce that it is developing its Fiscal Year 2020 Pre-Expenditure Report and Intended Use Plan for Social Services Block Grant (SSBG) funds. The Pre-Expenditure Report and Intended Use Plan will be available on the website of the Department of Human Services (DHS) for public inspection and comment on or before September 25, 2019. There will be an email link available for comments.
>
> The Social Services Block Grant (SSBG) is authorized by the Social Security Act, Title XX, as amended, to enable a jurisdiction to furnish social services best suited to the needs of its residents. The goals for the use of Federal block grant funds are to prevent, reduce, or eliminate dependency; to achieve or maintain self-sufficiency; to prevent neglect, abuse, or exploitation of children and adults; to prevent or reduce inappropriate institutional care; and to secure admission or referral for institutional care when other forms of care are not appropriate.
>
> The public inspection and comment period will continue through October 25, 2019.

Appendix B: Certifications

1. Drug-Free Workplace Requirements
2. Environmental Tobacco Smoke

[1] *Source: U.S. Census Bureau Quick-Facts; Population estimates, July 1, 2018; www.census.gov.*

3. Lobbying
4. Debarment, Suspension and Other Responsibility Matters

These executed certifications are provided as attachments as required.

Appendix C: Proof of Audit

The Social Services Block Grant (SSBG) award to the District of Columbia totals approximately $3.5 million annually. This grant does not meet the threshold of $10 million for audit, and, therefore, it does not qualify for the single audit. All grants received by the District of Columbia are included in the District's Comprehensive Annual Financial Report (CAFR) and SSBG samples may be pulled during this audit if necessary.

Appendix D: SF424M

This document is provided as an attachment as required.

Appendix E: Federal Financial Reporting (FFR) Form SF-425

This document is provided an attachment as required.

VII. Additional Information

No additional information is required at this time.

Appendix B: Certifications

1. Drug-Free Workplace Requirements
2. Environmental Tobacco Smoke
3. Lobbying
4. Debarment, Suspension and Other Responsibility Matters

CERTIFICATION REGARDING DRUG-FREE WORKPLACE REQUIREMENTS

This certification is required by the regulations implementing the Drug-Free Workplace Act of 1988: 45 CFR Part 76, Subpart, F. Sections 76.630(c) and (d)(2) and 76.645 (a)(1) and (b) provide that a Federal agency may designate a central receipt point for STATE-WIDE AND STATE AGENCY-WIDE certifications, and for notification of criminal drug convictions. For the Department of Health and Human Services, the central point is: Division of Grants Management and Oversight, Office of Management and Acquisition, Department of Health and Human Services, Room 517-D, 200 Independence Avenue, SW Washington, DC 20201.

Certification Regarding Drug-Free Workplace Requirements (Instructions for Certification)

1. By signing and/or submitting this application or grant agreement, the grantee is providing the certification set out below.

2. The certification set out below is a material representation of fact upon which reliance is placed when the agency awards the grant. If it is later determined that the grantee knowingly rendered a false certification, or otherwise violates the requirements of the Drug-Free Workplace Act, the agency, in addition to any other remedies available to the Federal Government, may take action authorized under the Drug-Free Workplace Act.

3. For grantees other than individuals, Alternate I applies.

4. For grantees who are individuals, Alternate II applies.

5. Workplaces under grants, for grantees other than individuals, need to be identified on the certification. If known, they may be identified in the grant application. If the grantee does not identify the workplaces at the time of application, or upon award, if there is no application, the grantee must keep the identity of the workplace(s) on file in its office and make the information available for Federal inspection. Failure to identify all known workplaces constitutes a violation of the grantee's drug-free workplace requirements.

6. Workplace identifications must include the actual address of buildings (or parts of buildings) or other sites where work under the grant takes place. Categorical descriptions may be used (e.g., all vehicles of a mass transit authority or State highway department while in operation, State employees in each local unemployment office, performers in concert halls or radio studios).

7. If the workplace identified to the agency changes during the performance of the grant, the grantee shall inform the agency of the change(s), if it previously identified the workplaces in question (see paragraph five).

8. Definitions of terms in the Nonprocurement Suspension and Debarment common rule and Drug-Free Workplace common rule apply to this certification. Grantees' attention is called, in particular, to the following definitions from these rules:

Controlled substance means a controlled substance in Schedules I through V of the Controlled Substances Act (21 U.S.C. 812) and as further defined by regulation (21 CFR 1308.11 through 1308.15);

Conviction means a finding of guilt (including a plea of nolo contendere) or imposition of sentence, or both, by any judicial body charged with the responsibility to determine violations of the Federal or State criminal drug statutes;

Criminal drug statute means a Federal or non-Federal criminal statute involving the manufacture, distribution, dispensing, use, or possession of any controlled substance;

Employee means the employee of a grantee directly engaged in the performance of work under a grant, including: (i) All direct charge employees; (ii) All indirect charge employees unless their impact or involvement is insignificant to the performance of the grant; and, (iii) Temporary personnel and consultants who are directly engaged in the performance of work under the grant and who are on the grantee's payroll. This definition does not include workers not on the payroll of the grantee (e.g., volunteers, even if used to meet a matching requirement; consultants or independent contractors not on the grantee's payroll; or employees of subrecipients or subcontractors in covered workplaces).

Certification Regarding Drug-Free Workplace Requirements

Alternate I. (Grantees Other Than Individuals)

The grantee certifies that it will or will continue to provide a drug-free workplace by:

(a) Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the grantee's workplace and specifying the actions that will be taken against employees for violation of such prohibition;
(b) Establishing an ongoing drug-free awareness program to inform employees about - -
(1) The dangers of drug abuse in the workplace;
(2) The grantee's policy of maintaining a drug-free workplace;
(3) Any available drug counseling, rehabilitation, and employee assistance programs; and
(4) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace;
(c) Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph (a);
(d) Notifying the employee in the statement required by paragraph (a) that, as a condition of employment under the grant, the employee will - -
(1) Abide by the terms of the statement; and

(2) Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction;

(e) Notifying the agency in writing, within 10 calendar days after receiving notice under paragraph (d)(2) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title, to every grant officer or other designee on whose grant activity the convicted employee was working, unless the Federal agency has designated a central point for the receipt of such notices. Notice shall include the identification number(s) of each affected grant;

(f) Taking one of the following actions, within 30 calendar days of receiving notice under paragraph (d)(2), with respect to any employee who is so convicted - -

(1) Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or

(2) Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency;

(g) Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs (a), (b), (c), (d), (e) and (f).

(B) The grantee may insert in the space provided below the site(s) for the performance of work done in connection with the specific grant:

Place of Performance (Street address, city, county, state, zip code)

64 New York Avenue, NE, 6th Floor

Washington, DC 20002

Check if there are workplaces on file that are not identified here.

Alternate II. (Grantees Who Are Individuals)

(a) The grantee certifies that, as a condition of the grant, he or she will not engage in the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance in conducting any activity with the grant;

(b) If convicted of a criminal drug offense resulting from a violation occurring during the conduct of any grant activity, he or she will report the conviction, in writing, within 10 calendar days of the conviction, to every grant officer or other designee, unless the Federal agency designates a central point for the receipt of such notices. When notice is made to such a central point, it shall include the identification number(s) of each affected grant.

[55 FR 21690, 21702, May 25, 1990]

9/19/19

Signature and Date

Sharon Kershbaum

Printed Name

Chief Operating Officer

Title

DC Dept of Human Services

Organization

CERTIFICATION REGARDING ENVIRONMENTAL TOBACCO SMOKE

Public Law 103227, Part C Environmental Tobacco Smoke, also known as the Pro Children Act of 1994, requires that smoking not be permitted in any portion of any indoor routinely owned or leased or contracted for by an entity and used routinely or regularly for provision of health, day care, education, or library services to children under the age of 18, if the services are funded by Federal programs either directly or through State or local governments, by Federal grant, contract, loan, or loan guarantee. The law does not apply to children's services provided in private residences, facilities funded solely by Medicare or Medicaid funds, and portions of facilities used for inpatient drug or alcohol treatment. Failure to comply with the provisions of the law may result in the imposition of a civil monetary penalty of up to $1000 per day and/or the imposition of an administrative compliance order on the responsible entity by signing and submitting this application the applicant/grantee certifies that it will comply with the requirements of the Act.

The applicant/grantee further agrees that it will require the language of this certification be included in any subawards which contain provisions for the children's services and that all subgrantees shall certify accordingly.

9/19/19

Signature and Date

SHARON KERSHBAUM

Printed Name

CHIEF OPERATING OFFICER

Title

D.C. DEPARTMENT OF HUMAN SERVICES

Organization

CERTIFICATION REGARDING LOBBYING

Certification for Contracts, Grants, Loans, and Cooperative Agreements

The undersigned certifies, to the best of his or her knowledge and belief, that:

(1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.

(2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions.

(3) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly. This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than $10,000 and not more than $100,000 for each such failure.

Statement for Loan Guarantees and Loan Insurance

The undersigned states, to the best of his or her knowledge and belief, that:

If any funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this commitment providing for the United States to insure or guarantee a loan, the undersigned shall complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions. Submission of this statement is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required statement shall be subject to a civil penalty of not less than $10,000 and not more than $100,000 for each such failure.

9/19/19

Signature and Date

SHARON KRESSLEBAUM

Printed Name

CHIEF OPERATING OFFICER

Title

D.C. DEPARTMENT OF HUMAN SERVICES

Organization

CERTIFICATION REGARDING DEBARMENT, SUSPENSION AND OTHER RESPONSIBILITY MATTERS

Certification Regarding Debarment, Suspension, and Other Responsibility Matters - - Primary Covered Transactions

Instructions for Certification

1. By signing and submitting this proposal, the prospective primary participant is providing the certification set out below.
2. The inability of a person to provide the certification required below will not necessarily result in denial of participation in this covered transaction. The prospective participant shall submit an explanation of why it cannot provide the certification set out below. The certification or explanation will be considered in connection with the department or agency's determination whether to enter into this transaction. However, failure of the prospective primary participant to furnish a certification or an explanation shall disqualify such person from participation in this transaction.
3. The certification in this clause is a material representation of fact upon which reliance was placed when the department or agency determined to enter into this transaction. If it is later determined that the prospective primary participant knowingly rendered an erroneous certification, in addition to other remedies available to the Federal Government, the department or agency may terminate this transaction for cause or default.
4. The prospective primary participant shall provide immediate written notice to the department or agency to which this proposal is submitted if at any time the prospective primary participant learns that its certification was erroneous when submitted or has become erroneous by reason of changed circumstances.
5. The terms covered transaction, debarred, suspended, ineligible, lower tier covered transaction, participant, person, primary covered transaction, principal, proposal, and voluntarily excluded, as used in this clause, have the meanings set out in the Definitions and Coverage sections of the rules implementing Executive Order 12549. You may contact the department or agency to which this proposal is being submitted for assistance in obtaining a copy of those regulations.
6. The prospective primary participant agrees by submitting this proposal that, should the proposed covered transaction be entered into, it shall not knowingly enter into any lower tier covered transaction with a person who is proposed for debarment under 48 CFR part 9, subpart 9.4, debarred, suspended, declared ineligible, or voluntarily excluded from participation in this covered transaction, unless authorized by the department or agency entering into this transaction.
7. The prospective primary participant further agrees by submitting this proposal that it will include the clause titled "Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusive-Lower Tier Covered Transaction," provided by the department or agency entering into this covered transaction, without modification, in all lower tier covered transactions and in all solicitations for lower tier covered transactions.
8. A participant in a covered transaction may rely upon a certification of a prospective participant in a lower tier covered transaction that is not proposed for debarment under 48 CFR part 9, subpart 9.4, debarred, suspended, ineligible, or voluntarily excluded from the covered transaction, unless it knows that the certification is erroneous. A participant may decide the method and frequency by which it determines the eligibility of its principals. Each participant

may, but is not required to, check the List of Parties Excluded from Federal Procurement and Nonprocurement Programs.

9. Nothing contained in the foregoing shall be construed to require establishment of a system of records in order to render in good faith the certification required by this clause. The knowledge and information of a participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.

10. Except for transactions authorized under paragraph 6 of these instructions, if a participant in a covered transaction knowingly enters into a lower tier covered transaction with a person who is proposed for debarment under 48 CFR part 9, subpart 9.4, suspended, debarred, ineligible, or voluntarily excluded from participation in this transaction, in addition to other remedies available to the Federal Government, the department or agency may terminate this transaction for cause or default.

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Certification Regarding Debarment, Suspension, and Other Responsibility Matters - - Primary Covered Transactions

(1) The prospective primary participant certifies to the best of its knowledge and belief, that it and its principals:

(a) Are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded by any Federal department or agency;

(b) Have not within a three-year period preceding this proposal been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;

(c) Are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (Federal, State or local) with commission of any of the offenses enumerated in paragraph (1)(b) of this certification; and

(d) Have not within a three-year period preceding this application/proposal had one or more public transactions (Federal, State or local) terminated for cause or default.

(2) Where the prospective primary participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.

Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion - - Lower Tier Covered Transactions

Instructions for Certification

1. By signing and submitting this proposal, the prospective lower tier participant is providing the certification set out below.

2. The certification in this clause is a material representation of fact upon which reliance was placed when this transaction was entered into. If it is later determined that the prospective lower tier participant knowingly rendered an erroneous certification, in addition to other

remedies available to the Federal Government the department or agency with which this transaction originated may pursue available remedies, including suspension and/or debarment.

3. The prospective lower tier participant shall provide immediate written notice to the person to which this proposal is submitted if at any time the prospective lower tier participant learns that its certification was erroneous when submitted or had become erroneous by reason of changed circumstances.

4. The terms covered transaction, debarred, suspended, ineligible, lower tier covered transaction, participant, person, primary covered transaction, principal, proposal, and voluntarily excluded, as used in this clause, have the meaning set out in the Definitions and Coverage sections of rules implementing Executive Order 12549. You may contact the person to which this proposal is submitted for assistance in obtaining a copy of those regulations.

5. The prospective lower tier participant agrees by submitting this proposal that, should the proposed covered transaction be entered into, it shall not knowingly enter into any lower tier covered transaction with a person who is proposed for debarment under 48 CFR part 9, subpart 9.4, debarred, suspended, declared ineligible, or voluntarily excluded from participation in this covered transaction, unless authorized by the department or agency with which this transaction originated.

6. The prospective lower tier participant further agrees by submitting this proposal that it will include this clause titled "Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion-Lower Tier Covered Transaction," without modification, in all lower tier covered transactions and in all solicitations for lower tier covered transactions.

7. A participant in a covered transaction may rely upon a certification of a prospective participant in a lower tier covered transaction that it is not proposed for debarment under 48 CFR part 9, subpart 9.4, debarred, suspended, ineligible, or voluntarily excluded from covered transactions, unless it knows that the certification is erroneous. A participant may decide the method and frequency by which it determines the eligibility of its principals. Each participant may, but is not required to, check the List of Parties Excluded from Federal Procurement and Nonprocurement Programs.

8. Nothing contained in the foregoing shall be construed to require establishment of a system of records in order to render in good faith the certification required by this clause. The knowledge and information of a participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.

9. Except for transactions authorized under paragraph five of these instructions, if a participant in a covered transaction knowingly enters into a lower tier covered transaction with a person who is proposed for debarment under 48 CFR part 9, subpart 9.4, suspended, debarred, ineligible, or voluntarily excluded from participation in this transaction, in addition to other remedies available to the Federal Government, the department or agency with which this transaction originated may pursue available remedies, including suspension and/or debarment.

****

Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion - - Lower Tier Covered Transactions

(1) The prospective lower tier participant certifies, by submission of this proposal, that neither it nor its principals is presently debarred, suspended, proposed for debarment, declared

ineligible, or voluntarily excluded from participation in this transaction by any Federal department or agency.

(2) Where the prospective lower tier participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.

Sharon K 9/19/19

Signature and Date

Sharon Kershbaum

Printed Name

Chief Operating Officer

Title

PC Dept of Human Services

Organization

Appendix D: SF-424M

On-Line Data Collection

Administration for Children & Families

Name: George Shepard
Last Login:09/06/2019 17:44:10 PM

Help / FAQ
End OLDC

OLDC Home | Form Selection | **Report** | Report Form Status

Program Name: Social Services Block Grant
Grantee Name: DISTRICT OF COLUMBIA - No. 01
Report Name: Application SF-424M
Report Period: 10/01/2019 - 09/30/2020
Report Status: Submitted with Warnings

Report Progress

Initialized	Edit-Saved	Validated	Certified	Submitted
☑	☑	☑	☑	☑

View Attachments | Print

Warning #1: [11540] Missing Attachment(s).
[Long Description]

APPLICATION FOR FEDERAL ASSISTANCE
SF - 424 - MANDATORY

OMB APPROVED
Control No: 4040-0002
Expires 01/31/2019
Version 01.1

*** 1.a. Type of Submission:**
- (•) Plan
- ( ) Funding Request

*** 1.b. Frequency:**
- (•) Annual
- ( ) Other

*** Other (Specify)**

*** 1.c. Consolidated Application/Plan/Funding Request?**

Explanation:

*** 1.d. Version:**
- (•) Initial
- ( ) Resubmission
- ( ) Revision
- ( ) Update

2. Date Received:

State Use Only:

3. Applicant Identifier:

4a. Federal Entity Identifier:

5. Date Received By State:

4b. Federal Award Identifier:

6. State Application Identifier:

7. APPLICANT INFORMATION

*** a. Legal Name:** DISTRICT OF COLUMBIA

*** b. Employer/Taxpayer Identification Number (EIN/TIN):** 1536001131A4

*** c. Organizational DUNS:** 143984255

*** d. Address:**

*** Street 1:** 64 New York Avenue, N.E., 6th Floor
Street 2:
*** City:** WASHINGTON
County:
*** State:** DC
Province:
*** Country:** United States
*** Zip / Postal Code:** 20002 -

e. Organizational Unit:

Department Name: Department of Human Services
Division Name: Family Services Administration

f. Name and contact information of person to be contacted on matters involving this application:

Prefix: Mr.
*** First Name:** George
Middle Name: L
*** Last Name:** Shepard
Suffix:
Title: Policy Analyst
Organizational Affiliation: DC Department of Human Services
*** Telephone Number:**
Fax Number: 2026714326
*** Email:** george.shepard@dc.gov

2022992152

*** 8a. TYPE OF APPLICANT:**
X: Other (specify)

b. Additional Description:
District of Columbia

*** 9. Name of Federal Agency:**

Administration for Children and Families, Office of Community Services

	Catalog of Federal Domestic Assistance Number:	CFDA Title:
10. CFDA Numbers and Titles 1	93.667	Social Services Block Grant

11. Descriptive Title of Applicant's Project
Provision of Social Services

12. Areas Affected by Funding:
District of Columbia

13. CONGRESSIONAL DISTRICTS OF:

* a. Applicant	b. Program/Project:
DC	DC

Attach an additional list of Program/Project Congressional Districts if needed.

14. FUNDING PERIOD:

a. Start Date:	b. End Date:
10/01/2019	09/30/2020

15. ESTIMATED FUNDING:

* a. Federal ($):	b. Match ($):
$0	$0

*** 16. IS SUBMISSION SUBJECT TO REVIEW BY STATE UNDER EXECUTIVE ORDER 12372 PROCESS?**

a. This submission was made available to the State under the Executive Order 12372 Process for Review on :

b. Program is subject to E.O. 12372 but has not been selected by State for review.

c. Program is not covered by E.O. 12372.

*** 17. Is The Applicant Delinquent On Any Federal Debt?**
○ YES
◉ NO

Explanation:

18. By signing this application, I certify (1) to the statements contained in the list of certifications** and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances** and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 218, Section 1001)
**I Agree ☑

** The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions.

18a. Typed or Printed Name and Title of Authorized Certifying Official
George L. Shepard

18b. Signature of Authorized Certifying Official
Ensign

18c. Telephone (area code, number and extension)

18d. Email Address

18e. Date Report Submitted (Month, Day, Year)
09/06/2019

Attach supporting documents as specified in agency instructions.

View Attachments | Print

Application SF-424M

Program Name: Social Services Block Grant
Grantee Name: DISTRICT OF COLUMBIA
Report Name: Application SF-424M
Report Period: 10/01/2019 to 09/30/2020
Report Status: Submitted with Warnings

APPLICATION FOR FEDERAL ASSISTANCE
SF - 424 - MANDATORY

OMB APPROVED
Control No: 4040-0002
Expires 01/31/2019
Version 01.1

*** 1.a. Type of Submission:** (•) Plan ( ) Funding Request	*** 1.b. Frequency:** (•) Annual ( ) Other *** Other (Specify)**	*** 1.c. Consolidated Application /Plan/Funding Request?** **Explanation:**	*** 1.d. Version:** (•) Initial ( ) Resubmission ( ) Revision ( ) Update
		2. Date Received:	**State Use Only:**
		3. Applicant Identifier:	
		4a. Federal Entity Identifier:	**5. Date Received By State:**
		4b. Federal Award Identifier:	**6. State Application Identifier:**

7. APPLICANT INFORMATION

*** a. Legal Name:** DISTRICT OF COLUMBIA

*** b. Employer/Taxpayer Identification Number (EIN/TIN):** 153600113 1A4	*** c. Organizational DUNS:** 143984255

*** d. Address:**

*** Street 1:**	64 New York Avenue, N.E., 6th Floor	**Street 2:**	
*** City:**	WASHINGTON	**County:**	
*** State:**	DC	**Province:**	
*** Country:**	United States	*** Zip / Postal Code:**	20002 -

e. Organizational Unit:

Department Name: Department of Human Services	**Division Name:** Family Services Administration

f. Name and contact information of person to be contacted on matters involving this application:

Prefix: Mr.	*** First Name:** George	**Middle Name:** L	*** Last Name:** Shepard
Suffix:	**Title:** Policy Analyst	**Organizational Affiliation:** DC Department of Human Services	
*** Telephone Number:** 2022992152	**Fax Number** 2026714326	*** Email:** george.shepard@dc.gov	

*** 8a. TYPE OF APPLICANT:**
X: Other (specify)

b. Additional Description:
District of Columbia

*** 9. Name of Federal Agency:**

Administration for Children and Families, Office of Community Services

	Catalog of Federal Domestic Assistance Number:	**CFDA Title:**

10. CFDA Numbers and Titles 1	93.667	Social Services Block Grant

11. Descriptive Title of Applicant's Project
Provision of Social Services

12. Areas Affected by Funding:
District of Columbia

13. CONGRESSIONAL DISTRICTS OF:

* a. Applicant	b. Program/Project:
DC	DC

Attach an additional list of Program/Project Congressional Districts if needed.

14. FUNDING PERIOD:		15. ESTIMATED FUNDING:	
a. Start Date: 10/01/2019	b. End Date: 09/30/2020	* a. Federal ($): $0	b. Match ($): $0

*** 16. IS SUBMISSION SUBJECT TO REVIEW BY STATE UNDER EXECUTIVE ORDER 12372 PROCESS?**

a. This submission was made available to the State under the Executive Order 12372 Process for Review on :

b. Program is subject to E.O. 12372 but has not been selected by State for review.

c. Program is not covered by E.O. 12372.

*** 17. Is The Applicant Delinquent On Any Federal Debt?**
○ YES
◉ NO

Explanation:

18. By signing this application, I certify (1) to the statements contained in the list of certifications and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances** and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 218, Section 1001)**
****I Agree** ☑

**** The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions.**

18a. Typed or Printed Name and Title of Authorized Certifying Official	18c. Telephone (area code, number and extension)
George L. Shepard	18d. Email Address
18b. Signature of Authorized Certifying Official Esign	18e. Date Report Submitted (Month, Day, Year) 09/06/2019

Attach supporting documents as specified in agency instructions.

Appendix E: Federal Financial Reporting Form SF-425

The latest version available is provided.

This report covers the reporting period ending 9/30/18.

OMB Approval Number: 0348-0061
Expiration Date: 02/28/2015

FEDERAL FINANCIAL REPORT (FFR)

1. Federal Agency and Org. Element to Which Report is Submitted Administration for Children and Families	2. Federal Grant or Other Identifying Number Assigned By Fed. Agency (To report multiple grants, use FFR Attachment) 1801DCSOSR
3. Recipient Organization (Name and complete address including Zip code)	
4. Grantee Name DISTRICT OF COLUMBIA	Grantee Name2 Department of Human Services
Grantee Street Address1 64 New York Avenue, N.E., 6th Floor	Grantee Street Address2
Grantee Street Address3	

Grantee City	Grantee State	Grantee Zip 5	Grantee Zip +4
WASHINGTON	DC	20002	

4a. DUNS Number	4b. EIN	5. Recipient Account Number or Identifying Number (To report multiple grants, use FFR Attachment)	6. Report Type	Final Report
143984255	1536001131A4	82CSSS	○ QUARTERLY ○ SEMI-ANNUAL ◉ ANNUAL	◉ NO ○ YES

7. Basis of Accounting	8. Project/Grant Period From (Month, Day, Year)	To: (Month, Day, Year)	9. Reporting Period End Date (Month, Day, Year)
○ CASH ◉ ACCRUAL	10/01/2017	09/30/2019	09/30/2018

Transaction Information

10. TRANSACTIONS	Cumulative
Federal Cash (To report multiple grants, also use FFR attachment):	
a. Cash Receipts	$3,109,285
b. Cash Disbursements	$3,109,285
c. Cash on hand (line a minus b)	$0
Federal Expenditures and Unobligated Balance:	
d. Total Federal funds authorized	$7,264,382
e. Federal share of expenditures	$3,109,285
f. Federal share of unliquidated obligations	$743,633
g. Total Federal share (sum of line e plus line f)	$3,852,918
h. Unobligated balance of Federal funds (line d minus g)	$3,411,464
Recipient Share:	
i. Total recipient share required	$0
j. Recipient share of expenditures	$0
k. Remaining recipient share to be provided (line i minus j)	$0
Program Income:	
l. Total Federal program income earned	$0
m. Program income expended in accordance with the deduction alternative	$0
n. Program income expended in accordance with the addition alternative	$0
o. Unexpended program income (line l minus line m or line n)	$0

Indirect Expense

11. Indirect Expense	a. Type	b. Rate	c. Period From	Period To	d. Base	e. Amount Charged	f. Federal Share
No. 1		0.00%			$0	$0	$0
g. Totals:					$0	$0	$0

12. Remarks

Total expenditures include cash and accrued expenditures.

13. Certification: By signing this report, I certify that it is true, complete, and accurate to the best of my knowledge. I am aware that any false, fictitious, or fraudulent information may subject me to criminal, civil, or administrative penalities. (U.S. Code, Title 18, Section 1001)

a. Typed or Printed Name and Title of Authorized Certifying Official Barbara Roberson	c. Telephone (Area code, number and extension)
Certification Title	d. Email address barbara.roberson2@dc.gov
	e. Date Report Submitted (Month, Day, Year)

b. Signature of Authorized Certifying Official	

Report Attachment (For reporting multiple grants)

14. List information below for each grant covered by this report.	Federal Grant Number	Recipient Account Number	Cumulative Federal Cash Disbursement
No. 1			$0
Total (Should correspond to the amount on Line 10b)			$0

OMB Approval Number: 0348-0061
Expiration Date: 02/28/2015

FEDERAL FINANCIAL REPORT (FFR)

1. Federal Agency and Org. Element to Which Report is Submitted Administration for Children and Families	2. Federal Grant or Other Identifying Number Assigned By Fed. Agency (To report multiple grants, use FFR Attachment) 1801DCSOSR
3. Recipient Organization (Name and complete address including Zip code)	
4. Grantee Name DISTRICT OF COLUMBIA	Grantee Name2 Department of Human Services
Grantee Street Address1 64 New York Avenue, N.E., 6th Floor	Grantee Street Address2
Grantee Street Address3	

Grantee City	Grantee State	Grantee Zip 5	Grantee Zip +4
WASHINGTON	DC	20002	

4a. DUNS Number	4b. EIN	5. Recipient Account Number or Identifying Number (To report multiple grants, use FFR Attachment)	6. Report Type	Final Report
143984255	1536001131A4	82CSSS	○ QUARTERLY ○ SEMI-ANNUAL ◉ ANNUAL	◉ NO ○ YES

7. Basis of Accounting	8. Project/Grant Period From (Month, Day, Year)	To: (Month, Day, Year)	9. Reporting Period End Date (Month, Day, Year)
○ CASH ◉ ACCRUAL	10/01/2017	09/30/2019	09/30/2018

Transaction Information

10. TRANSACTIONS	Cumulative
Federal Cash (To report multiple grants, also use FFR attachment):	
a. Cash Receipts	$3,109,285
b. Cash Disbursements	$3,109,285
c. Cash on hand (line a minus b)	$0
Federal Expenditures and Unobligated Balance:	
d. Total Federal funds authorized	$7,264,382
e. Federal share of expenditures	$3,109,285
f. Federal share of unliquidated obligations	$743,633
g. Total Federal share (sum of line e plus line f)	$3,852,918
h. Unobligated balance of Federal funds (line d minus g)	$3,411,464
Recipient Share:	
i. Total recipient share required	$0
j. Recipient share of expenditures	$0
k. Remaining recipient share to be provided (line i minus j)	$0
Program Income:	
l. Total Federal program income earned	$0
m. Program income expended in accordance with the deduction alternative	$0
n. Program income expended in accordance with the addition alternative	$0
o. Unexpended program income (line l minus line m or line n)	$0

Indirect Expense

11. Indirect Expense	a. Type	b. Rate	c. Period From	Period To	d. Base	e. Amount Charged	f. Federal Share
No. 1		0.00%			$0	$0	$0
g. Totals:					$0	$0	$0

12. Remarks

Total expenditures include cash and accrued expenditures.

13. Certification: By signing this report, I certify that it is true, complete, and accurate to the best of my knowledge. I am aware that any false, fictitious, or fraudulent information may subject me to criminal, civil, or administrative penalities. (U.S. Code, Title 18, Section 1001)

a. Typed or Printed Name and Title of Authorized Certifying Official	c. Telephone (Area code, number and extension)
Certification Title	d. Email address
b. Signature of Authorized Certifying Official	e. Date Report Submitted (Month, Day, Year)

Report Attachment (For reporting multiple grants)

14. List Information below for each grant covered by this report.	Federal Grant Number	Recipient Account Number	Cumulative Federal Cash Disbursement
No. 1			$0
Total (Should correspond to the amount on Line 10b)			$0